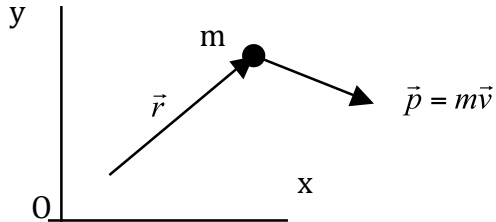


SJP QM 3220 3D 1

Angular Momentum (warm-up for H-atom)

Classically, angular momentum defined as (for a 1-particle system)

$$\vec{L} \equiv \vec{r} \times \vec{p}$$

$$= \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ x & y & z \\ p_x & p_y & p_z \end{vmatrix}$$


Note: \vec{L} defined w.r.t. an origin of coords.

$$\vec{L} = \hat{x}(yp_z - zp_y) + \hat{y}(zp_x - xp_z) + \hat{z}(xp_y - yp_x)$$

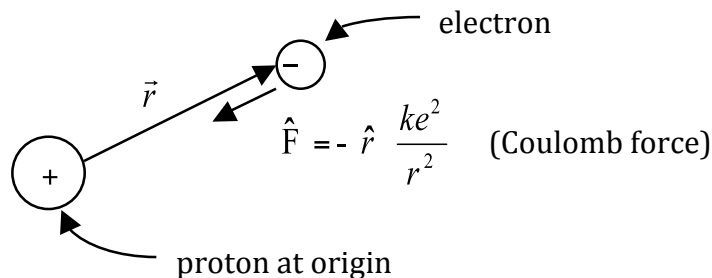
(In QM, the operator corresponding to L_x is $\hat{L}_x = \hat{y}\hat{p}_z - \hat{z}\hat{p}_y$, $p_z = \frac{\hbar}{i} \frac{\partial}{\partial z}$, etc.

according to prescription of Postulate 2, part 3.)

Classically, torque defined as $\vec{\tau} \equiv \vec{r} \times \vec{F}$, and $\vec{\tau} = \frac{d\vec{L}}{dt}$ (rotational version of $\vec{F} = m\vec{a}$)

If the force is radial (central force), then $\vec{\tau} = \vec{r} \times \vec{F} = 0 \Rightarrow \vec{L} = \text{const.}$

H-atom:



In a multi-particle system, total average momentum:

$$\vec{L}_{\text{tot}} = \sum_i \hat{L}_i \text{ is conserved for system } \underline{\text{isolated}} \text{ from } \underline{\text{external}} \text{ torques.}$$

↖ sum over particles

Internal torques can cause exchange of average momentum among particles, but \vec{L}_{tot} remains constant.

In classical and quantum mechanics, only 4 things are conserved:

- energy
- linear momentum
- angular momentum
- electric charge

SJP QM 3220 3D 1

Back to QM. Define vector operator $\hat{\vec{L}}$

$$\hat{\vec{L}} = \hat{L}_x \hat{x} + \hat{L}_y \hat{y} + \hat{L}_z \hat{z}$$

operator unit vector

Recall $\frac{d\langle Q \rangle}{dt} = \frac{i}{\hbar} \langle [\hat{H}, \hat{Q}] \rangle$

$$\Rightarrow \frac{d\langle \hat{\vec{L}} \rangle}{dt} = \frac{i}{\hbar} \langle [\hat{H}, \hat{\vec{L}}] \rangle$$

↑

$$\frac{d\langle L_x \rangle}{dt} \hat{x} + \frac{d\langle L_y \rangle}{dt} \hat{y} + \dots$$

Claim: for a central force such as in H-atom

$$V = V(r) = -ke^2/r, \text{ then } [\hat{H}, \hat{\vec{L}}] = 0 \quad (\text{will show this later})$$

This implies $\frac{d\vec{L}}{dt} = 0$ (just like in classical mechanics)

Angular momentum of electron in H-atom is constant, so long as it does not absorb or emit photon. Throughout present discussion, we ignore interaction of H-atom w/photons.

Will show that for H-atom or for any atom, molecule, solid – any collection of atoms – the angular momentum is quantized in units of \hbar . $|\vec{L}|$ can only change by integer number of \hbar 's.

$$\text{Units of } L = [L] = [\hbar]$$

$$\text{Note } [L] = [rp] \quad [p] = \left[\frac{\hbar}{r} \right] \quad (\text{since } p = \hbar k)$$

$$\Rightarrow [L] = [r] \times \frac{[\hbar]}{[r]} = [\hbar] \quad \checkmark$$

Claim: $[\hat{L}_x, \hat{L}_y] = i\hbar \hat{L}_z$

and

$$[\hat{L}_i, \hat{L}_j] = i\hbar \hat{L}_k$$

(i, j, k cyclic:

x y z or
y z x or
z x y)

SJP QM 3220 3D 1

To prove, need two very useful identities: $[A+B, C] = [A, C] + [B, C]$
 $[AB, C] = A[B, C] + [A, C]B$

Proof: $[L_x, L_y] = [yp_z - zp_y, zp_x - xp_z] =$

$$\underbrace{[yp_z, zp_x]}_{y \underbrace{[p_z, z]}_{-i\hbar} p_x} - \underbrace{[yp_z, xp_z]}_0 - \underbrace{[zp_y, zp_x]}_0 + \underbrace{[zp_y, xp_z]}_{x \underbrace{[z, p_z]}_{+i\hbar} p_y} =$$

all other terms like $[y, p_x] = 0$

$$= +i\hbar(xp_y - yp_x) = i\hbar L_z$$

(Have used $[x, p_x] = i\hbar$, $[x, y] = 0$, $[x, p_y] = 0$, $[p_x, p_y] = 0$, etc.

I'm dropping the $\hat{}$ over operators when no danger of confusion.

Since $[L_x, L_y] \neq 0$, cannot have simultaneous eigenstates of \hat{L}_x and \hat{L}_y .

$$\sigma_{L_x}^2 \sigma_{L_x}^2 \geq \left(\frac{1}{2i} \underbrace{\langle [\hat{L}_x, \hat{L}_y] \rangle}_{i\hbar \langle \hat{L}_z \rangle} \right)^2 = \left(\frac{\hbar}{2} \right)^2 \langle L_z \rangle^2$$

However, $L^2 = \vec{L} \cdot \vec{L} = L_x^2 + L_y^2 + L_z^2$ does commute with L_z .

Claim: $[L^2, L_z] = 0$

$$\boxed{[L^2, L_i] = 0}, \quad i = x, y, \text{ or } z$$

Proof: $[L^2, L_z] = [L_x^2, L_z] + [L_y^2, L_z] + \underbrace{[L_z^2, L_z]}_0$

$$= L_x \underbrace{[L_x, L_z]}_{-i\hbar L_y} + \underbrace{[L_x, L_z]}_{-i\hbar L_y} L_x + L_y \underbrace{[L_y, L_z]}_{+i\hbar L_x} + \underbrace{[L_y, L_z]}_{+i\hbar L_x} L_y$$

= 0 (Note cancellations)

$[L^2, L_z] = 0 \Rightarrow$ can have simultaneous eigenstates of \hat{L}^2, \hat{L}_z (or \hat{L}^2, \hat{L}_i any i)

SJP QM 3220 3D 1

Looking forward to H-atom:

$$\hat{\mathcal{H}} = -\frac{\hbar}{2m} \nabla^2 () + V(r) \cdot ()$$

We will show that $[\hat{\mathcal{H}}, \hat{L}^2] = 0$, $[\hat{\mathcal{H}}, \hat{L}_z] = 0$

=> simultaneous eigenstates of $\hat{\mathcal{H}}$, \hat{L}^2 , \hat{L}_z

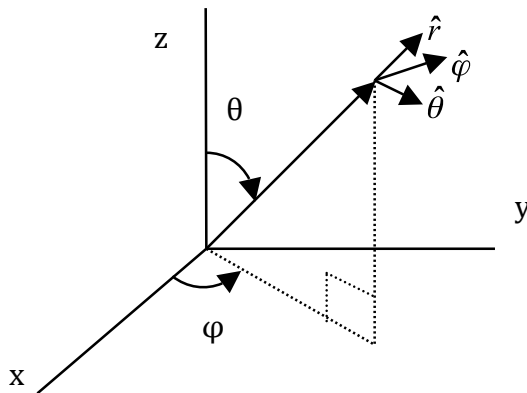
energy q-nbr

$\psi = \psi_{n l m}$

L_z q-nbr

L² q-nbr

When we solve the TISE $\hat{\mathcal{H}} \psi = E \psi$ for the H-atom, the natural coordinates to use will be spherical coordinates: r, θ, φ (not x, y, z)



$$\begin{aligned} x &= r \sin \theta \cos \varphi \\ y &= r \sin \theta \sin \varphi \\ z &= r \cos \theta \end{aligned}$$

Just rewriting $\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$ in spherical coordinates is gawd-awful. But separation of variables will give special solutions, energy eigenstates, of form

$$\psi(r, \theta, \varphi) = R(r) Y(\theta, \varphi) = R(r) \Theta(\theta) \Phi(\varphi)$$

The angular part of the solution $Y(\theta, \varphi)$ will turn out to be eigenstates of L^2, L_z and will have form completely independent of the potential $V(r)$.

_____ *

SJP QM 3220 3D 1

Given only $[L^2, L_z] = 0$ and \hat{L}^2, \hat{L}_z hermitean we know there must exist simultaneous eigenstates f (which will turn out to be the $Y(\theta, \varphi)$ mentioned above) such that

$$\hat{L}^2 f = \lambda \cdot f, \quad \hat{L}_z f = \mu \cdot f$$

(λ will be related to l , and μ will be related to m)

We will show that f will depend on quantum-numbers l, m , so we write it as f_l^m , and that

★

$$\begin{aligned} L^2 f_l^m &= \hbar^2 l(l+1) \cdot f_l^m \\ L_z f_l^m &= \hbar m \cdot f_l^m \end{aligned}$$

where $l = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$ $m = -l, -l+1, \dots, l-1, l$

$$f_l^m = Y_l^m(\theta, \varphi) \text{ will be determined later.}$$

Notice max eigenvalue of L_z ($= \hbar$) is smaller than square root of eigenvalue of $L^2 = \hbar \sqrt{l(l+1)}$

So, in QM, $L_z < |L|$ Odd!

Also notice $l = 0, m = 0$ state has zero angular momentum ($L^2 = 0, L_z = 0$) so, unlike Bohr model, can have electron in state that is "just sitting there" rather than revolving about proton in H-atom.

Proof of boxed ★ formulae: (This proof takes 2 ½ pages!)

Define $L_+ = L_x + i L_y$ = "raising operator"

$L_- = L_x - i L_y$ = "lowering operator"

(Note $L_+^\dagger = L_-$, $L_-^\dagger = L_+$, A^\dagger = hermitean adjoint of A)

Neither L_+ or L_- are hermitean (self-adjoint).

$$\text{Note } [L^2, L_\pm] = 0 \quad \left([L^2, L_+] = \underbrace{[L^2, L_x]}_0 + i \underbrace{[L^2, L_y]}_0 = 0 \right)$$

$$\Rightarrow \text{Consider } f: \quad L^2 f = \lambda \cdot f, \quad L_z f = \mu \cdot f$$

SJP QM 3220 3D 1

Claim: $g = L_+ f$ is an eigenfunction of L_z with eigenvalue $= (\mu + \hbar)$. So L_+ operator raises eigenvalue of L_z by $1 \hbar$.

Proof: $L^2 g = L^2 (L_+ f) = L_+ (L^2 f) = \lambda \cdot L_+ f = \lambda \cdot g \quad \checkmark$

To prove $L_z g = (\mu + \hbar) g$, need to show that $[L_z, L_+] = \hbar L_+$

$$[L_z, L_x + iL_y] = \underbrace{[L_z, L_x]}_{i\hbar L_y} + i \underbrace{[L_z, L_y]}_{-i\hbar L_x} = \hbar \underbrace{(L_x + iL_y)}_{L_+} \quad \checkmark$$

$$\begin{aligned} \text{Now } L_z g &= \underbrace{L_z (L_+ f)}_{L_+ L_z + \hbar L_+} = L_+ \underbrace{L_z f}_{\mu f} + \hbar L_+ f = (\mu + \hbar) L_+ f \\ &= (\mu + \hbar) g \quad \checkmark \end{aligned}$$

So, operating on f with raising operator L_+ raises eigenvalues of L_z by $1\hbar$ but keeps eigenvalue of L^2 unchanged.

(Similarly, L_- lowers eigenvalue of L_z by $1\hbar$.)

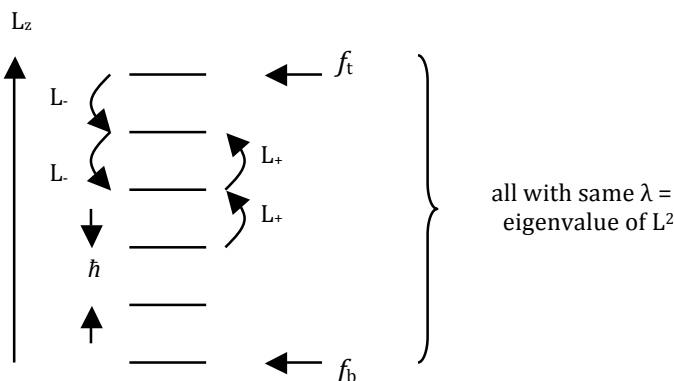
Operating repeatedly with L_+ raises eigenvalue of L_z by \hbar each time: $L_+ (L_+ f)$ has $(\mu + 2\hbar)$ etc.

But eigenvalue of L_z cannot increase without limit since $\langle L_z \rangle$ cannot exceed $\sqrt{\langle L^2 \rangle}$

$$\begin{aligned} \underbrace{\langle L^2 \rangle}_{\lambda} &= \underbrace{\langle L_x^2 \rangle}_{\mu^2} + \underbrace{\langle L_y^2 \rangle}_{\geq 0} + \underbrace{\langle L_z^2 \rangle}_{\geq 0} \Rightarrow \lambda > \mu^2, \\ &\lambda > |\mu| \end{aligned}$$

There is only one way out. There must be for a given λ a "top state" f_t for which $L_+ f_t = 0$.

Likewise, there must be for a given λ a "bottom state" f_b for which $L_- f_b = 0$.



SJP QM 3220 3D 1

Write $L_z f = m \hbar \cdot f$, m changes by integers only

$$L_z f_t = \ell \hbar \cdot f_t, \ell = \text{max value of } m$$

$L^2 f_t = ?$ Want to write L^2 in terms of L_+ , L_z :

$$L_- L_+ = (L_x - iL_y)(L_x + iL_y) = \underbrace{L_x^2 + L_y^2}_{L^2 - L_z^2} + i \underbrace{[L_x, L_y]}_{i\hbar L_z} = \underbrace{L^2 - L_z^2}_{- \hbar L_z}$$

$$\Rightarrow L^2 = L_- L_+ + L_z^2 + \hbar L_z$$

$$(\text{Also, } L^2 = L_+ L_- + L_z^2 - \hbar L_z)$$

$$\Rightarrow L^2 f_t = \underbrace{L_- L_+ f_t}_0 + \underbrace{L_z^2 f_t}_{\hbar^2 \ell^2 f_t} + \underbrace{\hbar L_z f_t}_{\hbar^2 \ell f_t} = \hbar^2 \ell(\ell+1) f_t$$

So, $L^2 f = \underbrace{\hbar^2 \ell(\ell+1)}_{\lambda} f$ where $\ell = \text{max } m$, same λ for all m 's.

Repeat for f_b : $L_z f_b = \hbar \bar{\ell} f_b$, $\bar{\ell} = \text{min value of } m$.

$$L^2 f_b = \underbrace{L_+ L_- f_b}_0 + \underbrace{L_z^2 f_b}_{\hbar^2 \bar{\ell}^2 f_b} + \underbrace{\hbar L_z f_b}_{-\hbar^2 \bar{\ell} f_b} = \underbrace{\hbar^2 \bar{\ell}(\bar{\ell}-1)}_{\lambda} f_b$$

$$\lambda = \lambda \Rightarrow \ell(\ell+1) = \bar{\ell}(\bar{\ell}-1) \Rightarrow \bar{\ell} = -\ell \text{ (try it!)}$$

So $m_{\min} = -m_{\max}$ and m changes only in units of 1.

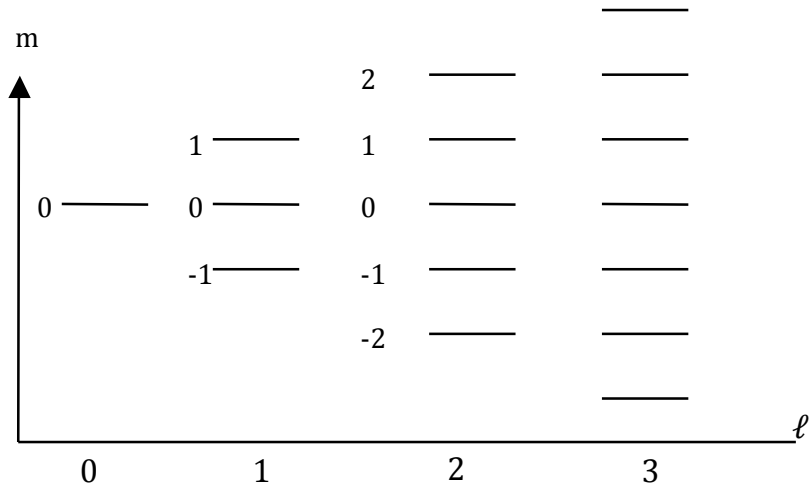
$$\Rightarrow m = -\ell, -\ell+1, \dots, \ell-2, \ell-1, \ell$$

N integer steps

$$\Rightarrow 2\ell = N, \ell = N/2 \Rightarrow \ell = 0, 1/2, 1, 3/2, 2, 5/2, \dots$$

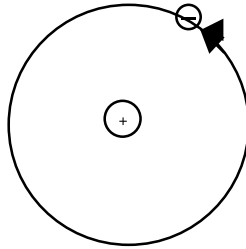
End of proof of ★

SJP QM 3220 3D 1



We'll see later that there are 2 flavors of angular momentum:

1. Orbital
Ang. Mom.
(integer ℓ only)



2. Spin
Ang. Mom.
(integer or $\frac{1}{2}$ integer OK)

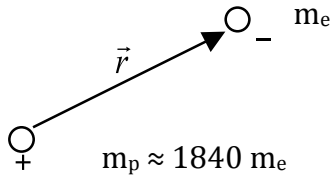


SJP QM 3220 3D 1

The H- atom

$$m_p \gg m_e \Rightarrow$$

proton (nearly)
stationary



$$\text{Hamiltonian of electron} = \hat{\mathcal{H}} = \frac{\hat{\vec{p}}^2}{2m} + V(r)$$

$$V(r) = \frac{-ke^2}{r}, \quad k = \frac{1}{4\pi\epsilon_0} \quad (\text{or } V(r) = \frac{-kZe^2}{r})$$

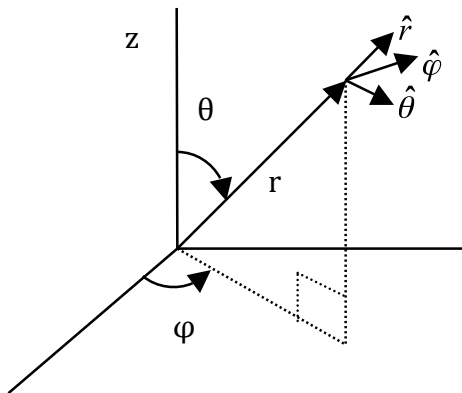
$$\frac{\hat{\vec{p}}^2}{2m} = \frac{\hat{\vec{p}}^2 \cdot \hat{\vec{p}}^2}{2m} = \frac{-\hbar^2}{2m} \nabla^2 ()$$

TISE: $\hat{\mathcal{H}} \psi_n = E_n \psi_n \Rightarrow$ special solutions (stationary states).

$$\psi_n(x) = \psi_n(x, t) = \psi_n(x) e^{-iE_n t / \hbar}$$

$$\text{General Solution to TDSE: } \Psi(x, t) = \sum_n c_n e^{-iE_n t / \hbar} \psi_n(x)$$

Spherical Coordinate System:



$$\begin{aligned} z &= r \cos \theta \\ x &= r \sin \theta \cos \phi \\ y &= r \sin \theta \sin \phi \end{aligned}$$

$$\psi = \psi(r, \theta, \phi)$$

Normalization: $\int dV |\psi|^2 = 1$

↙ volume

$$\int_0^\infty dr \int_0^\pi d\theta \int_0^{2\pi} d\phi \, r^2 \sin \theta |\psi|^2 = 1$$

Need ∇^2 in spherical coordinates

$$\text{Hard Way: } \nabla^2 f = \nabla \cdot \nabla f = \frac{\partial^2 f}{\partial x^2} + \dots$$

SJP QM 3220 3D 1

$$\frac{\partial f}{\partial x} = \frac{\partial f}{\partial r} \frac{\partial r}{\partial x} + \frac{\partial f}{\partial \theta} \frac{\partial \theta}{\partial x} + \frac{\partial f}{\partial \varphi} \frac{\partial \varphi}{\partial x} = g$$

$$\frac{\partial^2 f}{\partial x^2} = \frac{\partial g}{\partial x} = \frac{\partial g}{\partial r} \frac{\partial r}{\partial x} + \dots \text{ (nightmare!)}$$

Also need 9 derivatives: $\frac{\partial r}{\partial x}, \frac{\partial r}{\partial y} \dots \frac{\partial \theta}{\partial x} \dots$

Easier Way: Curvilinear coordinates (See Boas)

path element:

$$d\vec{s} = \hat{x}dx + \hat{y}dy + \hat{z}dz$$

$$= \hat{r}dr + \hat{\theta} r d\theta + \hat{\phi} r \sin \theta d\varphi$$

$$= \hat{e}_1 h_1 dx_1 + \hat{e}_2 h_2 dx_2 + \hat{e}_3 h_3 dx_3$$

$$= \sum_{i=1}^3 \hat{e}_i h_i dx_i \quad (h_i = \text{"scale factor"})$$

$$\nabla f = \sum_{i=1}^3 \hat{e}_i \frac{1}{h_i} \frac{\partial f}{\partial x_i}$$

$$\nabla^2 f = \frac{1}{h_1 h_2 h_3} \left[\frac{\partial}{\partial x_1} \left(\frac{h_2 h_3}{h_1} \frac{\partial f}{\partial x_1} \right) + \frac{\partial}{\partial x_2} \left(\frac{h_1 h_3}{h_2} \frac{\partial f}{\partial x_2} \right) + \dots \right]$$

Spherical coordinates: $\{x_i\} = \{r, \theta, \varphi\}$
 $\{h_i\} = \{1, r, r \sin \theta\}$

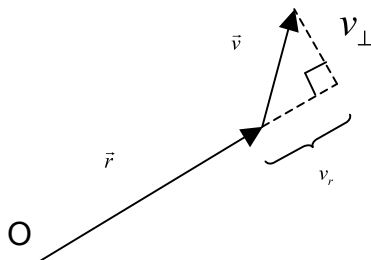
$$\Rightarrow \nabla^2 f =$$

$$\frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial f}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial f}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \left(\frac{\partial^2 f}{\partial \varphi^2} \right)$$

$$= (\text{radial}) + \frac{1}{r^2} (\text{angular})$$

In Classical Mechanics (CM), KE = $p^2 / 2m$ = KE = $\frac{1}{2} m v^2$ = $\frac{1}{2} m (v_r^2 + v_\perp^2)$ = $\frac{p_r^2}{2m} + \frac{L^2}{2mr^2}$
 (radial motion KE) + (angular, axial motion KE)

$$(\Rightarrow v_\perp = L / mr)$$



$$\text{KE} = \frac{1}{2} m v^2 = \frac{m}{2} (v_r^2 + v_\perp^2) = \underbrace{\frac{p_r^2}{2m}}_{\text{radial}} + \underbrace{\frac{L^2}{2mr^2}}_{\frac{1}{r^2} \times \text{angular}}$$

SJP QM 3220 3D 1

Same splitting in QM:

$$\hat{L}^2 = \left(\frac{\hbar}{i} \vec{r} \times \nabla \right)^2 = -\hbar^2 \left[\frac{1}{s\theta} \frac{\partial}{\partial \theta} \left(s\theta \frac{\partial}{\partial \theta} \right) + \frac{1}{s^2 \theta} \frac{\partial^2}{\partial \varphi^2} \right]$$

(Notice \hat{L}^2 depends only on θ, φ and not r .)

$$\hat{\mathcal{H}}\psi = \frac{-\hbar^2}{2m} \nabla^2 \psi + V(r)\psi = E \cdot \psi$$

$$\boxed{\frac{-\hbar^2}{2m} \frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial \psi}{\partial r} \right) + \frac{\hat{L}^2}{2mr^2} \psi + V(r)\psi = E\psi}$$

Separation of Variables! (as usual)

Seek special solution of form:

$$\psi(r, \theta, \varphi) = R(r) \cdot Y(\theta, \varphi) = R(r) \cdot \Theta(\theta) \cdot \Phi(\varphi)$$

Normalization: $\int dV |\psi|^2 =$

$$\underbrace{\int_0^\infty dr r^2 |R|^2}_1 \cdot \underbrace{\int_0^\pi d\theta \int_0^{2\pi} d\varphi \sin \theta |Y|^2}_1 = 1$$

(Convention: normalize radial, angular parts individually)

Plug $\psi = R \cdot Y$ into TISE \Rightarrow

$$\frac{-\hbar^2}{2m} \frac{Y}{r^2} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) + \frac{R}{2mr^2} \hat{L}^2 Y + V \cdot R \cdot Y = E \cdot R \cdot Y$$

Multiply thru by $\frac{-2mr^2}{\hbar^2} \frac{1}{R \cdot Y} :$

$$\underbrace{\left\{ \frac{1}{R} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} (V - E) \right\}}_{f(r)} = \underbrace{\frac{1}{\hbar^2 Y} \hat{L}^2 Y}_{g(\theta, \varphi)}$$

$$\Rightarrow f(r) = g(\theta, \varphi) = \text{constant } C = \ell(\ell + 1)$$

$$\hat{L}^2 Y = \hbar^2 C \cdot Y = \hbar^2 \ell(\ell + 1) Y \quad (\text{Page H-5})$$

SJP QM 3220 3D 1

Have separated TISE into radial part $f(r) = \ell(\ell + 1)$, involving $V(r)$, and angular part $g(\theta, \phi) = \ell(\ell + 1)$ which is independent of $V(r)$.
 \Rightarrow All problems with spherically symmetric potential ($V = V(r)$) have exactly same angular part of solution: $Y = Y(\theta, \phi)$ called "spherical harmonics".

We'll look at angular part later. Now, let's examine

Radial SE: $\left(\times -\frac{\hbar^2}{2mr} \cdot R \right)$

$$\frac{-\hbar^2}{2mr} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) + r \cdot R(V - E) = \frac{-\hbar^2 \cdot rR}{2mr^2} \ell(\ell + 1)$$

Change of variable: $u(r) = r \cdot R(r)$

$$\left(\int_0^\infty dr |u|^2 = 1 \right)$$

Can show that $\frac{1}{r} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) = \frac{d^2 u}{dr^2}$:

$$\frac{du}{dr} = R + r \frac{dR}{dr}, \quad \frac{d^2 u}{dr^2} = \frac{dR}{dr} + \frac{dR}{dr} + r \frac{d^2 R}{dr^2}$$

same!

$$\frac{1}{r} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) = \frac{1}{r} \left(2r \frac{dR}{dr} + r^2 \frac{d^2 R}{dr^2} \right) = 2 \frac{dR}{dr} + r \frac{d^2 R}{dr^2}$$

$$\boxed{\frac{-\hbar^2}{2m} \frac{d^2 u}{dr^2} + \left[V + \frac{\hbar^2}{2m} \frac{\ell(\ell + 1)}{r^2} \right] u = E u}$$

Notice: identical to 1D TISE:

$$\frac{-\hbar^2}{2m} \frac{d^2 \psi}{dr^2} + V \cdot \psi = E \psi \quad \text{except}$$

$r: 0 \rightarrow \infty$ instead of $x: -\infty \rightarrow +\infty$ and

$V(x)$ replaced with

$$\boxed{V_{eff} = V(r) + \frac{\hbar^2}{2mr^2} \ell(\ell + 1)}$$

V_{eff} = "effective potential"

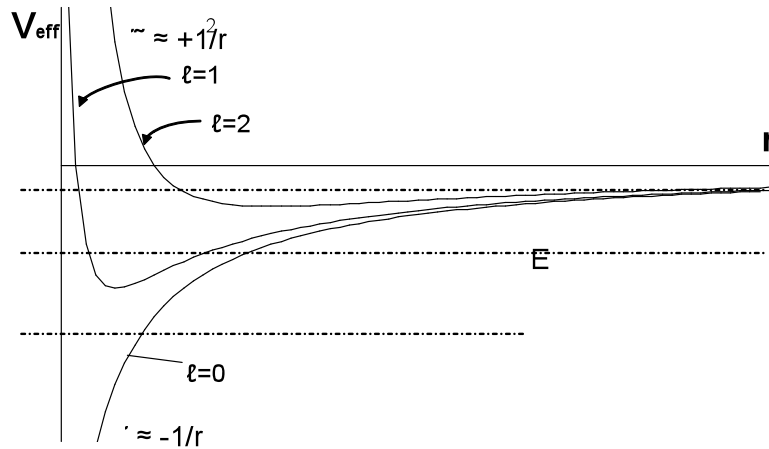
Boundary conditions:

SJP QM 3220 3D 1

$u(r = \infty) = 0$ from normalization $\int dr |u|^2 = 1$

$u(r = 0) = 0$, otherwise $R = \frac{u}{r}$ blows up at $r=0$ (subtle!)

$$V(r) = -\frac{A}{r}, \quad V_{eff} = -\frac{A}{r} + \frac{B}{r^2}$$



Notice that energy eigenvalues given by solution to radial equation alone.

Seek bound state solutions $E < 0$

$E > 0$ solutions are unbound states, scattering solutions

Full solution of radial SE is very messy, even though it is effectively a 1D problem (different problem for each ℓ)

Power series solution (see text for details). Solutions depend on 2 quantum numbers: n and ℓ (for each effective potential $\ell = 0, 1, 2, \dots$ have a set of solutions labeled by index n .)

$$\left. \begin{array}{l} \text{Solutions: } n = 1, 2, 3, \dots \\ \ell = 0, 1, \dots (n-1) \end{array} \right\} \quad \begin{array}{l} \text{for given } n \\ \ell_{\max} = (n-1) \end{array}$$

n = "principal quantum number"

energy eigenvalues depend on n only (it turns out)

$$E_n = \frac{E_1}{n^2}, \quad E_1 = -\frac{m(k e^2)^2}{2\hbar^2} \quad (\text{independent of } \ell)$$

- same as Bohr model, agrees with experiment!

SJP QM 3220 3D 1

First few solutions: $R_{n\ell}(r)$

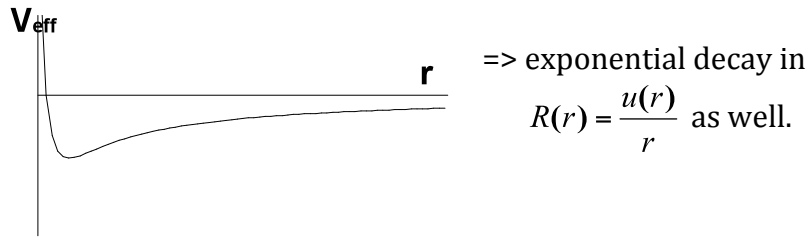
↓ normalization "Bohr radius"
 $R_{10} = A_{10} e^{-r/a_0}, \quad a_0 = \frac{\hbar^2}{kme^2} = \frac{4\pi\epsilon_0 \hbar^2}{me^2}$
 $R_{20} = A_{20} \left(1 - \frac{r}{2a_0}\right) e^{-r/2a_0}$
 $R_{21} = A_{21} \left(\frac{r}{a_0}\right) e^{-r/2a_0}$

NOTE:

- for $\ell = 0$ (s states), $R(r=0) \neq 0 \Rightarrow$ wavefunction ψ "touches" nucleus.
- for $\ell \neq 0$, $R(r=0) = 0 \Rightarrow \psi$ does not touch nucleus.

$\ell \neq 0 \Rightarrow$ electron has angular momentum. Same as classical behavior, particle with non-zero L cannot pass thru origin ($\vec{L} = \vec{r} \times \vec{p} : r=0 \Rightarrow p = \infty$)

Can also see this in QM: for $\ell \neq 0$, V_{eff} has infinite barrier at origin $\Rightarrow u(r)$ must decay to zero at $r=0$ exponentially.



SJP QM 3220 3D 1

Back to angular equation: $\hat{L}^2 Y_\ell^m = \hbar^2 \ell(\ell+1) Y_\ell^m$ Want to solve for the Y_ℓ^m 's - "spherical harmonics". Before, started with commutation relations,

$$[\hat{L}_i, \hat{L}_j] = i\hbar \hat{L}_k, [\hat{L}^2, \hat{L}_i] = 0$$

and, using operator algebra, solved for the eigenvalues of L^2, L_z . We found

$$\left. \begin{aligned} L^2 Y_\ell^m &= \hbar^2 \ell(\ell+1) Y_\ell^m \\ L_z Y_\ell^m &= m\hbar Y_\ell^m \end{aligned} \right\} \begin{aligned} &\text{where } \ell = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots \\ &m = -\ell, -\ell+1, \dots, +\ell \end{aligned}$$

In the process, we defined raising and lowering operators:

$$\begin{aligned} \hat{L}_\pm &= \hat{L}_x \pm \hat{L}_y \\ \hat{L}_+ Y_\ell^m &= c_m Y_\ell^{m+1} \quad (\text{for } m < m_{\max} = \ell) \\ \hat{L}_- Y_\ell^m &= c_m Y_\ell^{m-1} \quad (\text{for } m > m_{\min} = -\ell) \end{aligned}$$

↙
(c_m is some constant)

$$\hat{L}_+ f_{\text{top}} = \hat{L}_+ Y_\ell^\ell = 0 \quad \text{and} \quad \hat{L}_- Y_\ell^{-\ell} = 0$$

So, if we can find (for a given ℓ) a single eigenstate Y_ℓ^m , then we can generate all the others (other m 's) by repeated application of \hat{L}_+ or \hat{L}_- .

$$Y_\ell^m = Y_\ell^m(\theta, \varphi).$$

It's easy to find the φ -dependence; don't need the \hat{L}_\pm business yet.

$$\hat{L}_z = \frac{\hbar}{i} \frac{\partial}{\partial \varphi} \quad (\text{showed in HW})$$

$$\hat{L}_z Y = \frac{\hbar}{i} \frac{\partial Y}{\partial \varphi} = \hbar m Y \quad (\text{and you can cancel the } \hbar)$$

Assume $Y(\theta, \varphi) = \Theta(\theta) \Phi(\varphi) \Rightarrow$

$$\frac{d\Phi}{d\varphi} = im \Phi \Rightarrow \boxed{\Phi(\varphi) = e^{+im\varphi}}$$

If we assume (postulate) that ψ is single-valued than

$$\Phi(\varphi + 2\pi) = \Phi(\varphi) \Rightarrow e^{im2\pi} = 1$$

$$\Rightarrow m = 0, \pm 1, \pm 2, \dots \quad \text{But } m = -\ell, \dots, +\ell$$

So for orbital angular momentum, ℓ must be integer only: $\ell = 0, 1, 2, \dots$ (throw out $\frac{1}{2}$ integer values)

SJP QM 3220 3D 1

(algebra!)

$$L_+ = L_x + iL_y = \hbar e^{i\varphi} \left(\frac{\partial}{\partial \theta} + i \cot \theta \frac{\partial}{\partial \varphi} \right)$$

$$L_- = L_x - iL_y = \hbar e^{-i\varphi} \left(-\frac{\partial}{\partial \theta} + i \cot \theta \frac{\partial}{\partial \varphi} \right)$$

$$(L_- = L_+^\dagger \leftarrow \text{adjoint } \langle f | A^\dagger | g \rangle = \langle Af | g \rangle)$$

Can deduce Y_ℓ^m from $\hat{L}_+ Y_\ell^\ell = 0$

$$\Rightarrow \frac{\partial Y_\ell^\ell}{\partial \theta} + i \cot \theta \frac{\partial Y_\ell^\ell}{\partial \varphi} = 0$$

$$\boxed{Y_\ell^\ell(\theta, \varphi) = (\sin \theta)^\ell e^{i\ell\varphi}} \quad \text{Solution: (un-normalized)}$$

Checks: Plug back in.

$$\ell(\sin \theta)^{\ell-1} \cos \theta \cancel{e^{i\ell\varphi}} + i \cot \theta (\sin \theta)^\ell (i\ell) \cancel{e^{i\ell\varphi}} = 0$$

$$\ell(\sin \theta)^\ell \underbrace{\frac{\cos \theta}{\sin \theta}}_{\cot \theta} - \cot \theta (\sin \theta)^\ell \cdot \ell = 0 \quad \checkmark$$

Now, can get other Y_ℓ^m 's by repeated application of \hat{L}_- . Somewhat messy (HW!)

Normalization from $\int d\theta \int d\varphi \sin \theta |Y_\ell^m|^2$

$$\text{Notice case } \ell = 0 \quad \boxed{Y_0^0 = \text{const} = \frac{1}{\sqrt{4\pi}}} :$$

$$(\text{since } \int_0^\pi d\theta \int_0^{2\pi} d\varphi \sin \theta = \int d\Omega = 4\pi)$$

Example:

$$Y_1^1 = -\sqrt{\frac{3}{8\pi}} \sin \theta e^{+i\varphi}$$

$$Y_1^0 = \sqrt{\frac{3}{4\pi}} \cos \theta$$

$$Y_1^{-1} = +\sqrt{\frac{3}{8\pi}} \sin \theta e^{-i\varphi}$$

Convention on \pm sign: $Y_\ell^{-m} = (-1)^m (Y_\ell^m)^*$

SJP QM 3220 3D 1

The spherical harmonics form a complete, orthonormal set (since eigenfunctions of hermitean operators)

$$\int d\Omega (Y_\ell^m)^* Y_{\ell'}^{m'} = \delta_{\ell\ell'} \delta_{mm'}$$

Any function of angles $f = f(\theta, \varphi)$ can be written as linear combo of Y_ℓ^m 's :

$$f(\theta, \varphi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{+\ell} Y_\ell^m$$

Likewise: $\int_0^\infty dr r^2 (R_{n\ell})^* R_{n'\ell'} = \delta_{nn'} \delta_{\ell\ell'}$

=> H-atom energy eigenstates are

$$\psi_{n\ell m}(r, \theta, \varphi) = R_{n\ell}(r) Y_\ell^m(\theta, \varphi) = R_{n\ell} \Theta_{\ell m} e^{im\varphi}$$

$n = 1, 2, \dots$; $\ell = 0, 1, \dots (n-1)$; $m = -\ell \dots +\ell$

Arbitrary (bound) state is

$$\psi = \sum_{n,\ell,m} c_{n\ell m} \psi_{n\ell m} \quad (\text{c's are any complex constants})$$

energy of state (n, ℓ, m) depends only on n .

$E_n = -\text{constant}/n^2$ (states ℓ, m with same n are degenerate)

		$\ell =$			
		0	1	2	3
n=	4	<u>4</u> (1)	<u>4p</u> (3)	<u>4d</u> (5)	<u>4f</u> (7)
	3	<u>3</u>	<u>3p</u>	<u>3d</u>	
	2	<u>2</u>	<u>2p</u>		
	1	<u>1</u>			

Degeneracy of n^{th} level is n^2
($2 \cdot n^2$ if you include spin)

SJP QM 3220 3D 1

Radial Probability Density

$$\int dV |\psi|^2 = 1$$

Prob (find particle in dV about \vec{r}) = $|\psi(\vec{r})|^2 dV$

If $\ell = 0$, $\psi = \psi(r)$ then $\int dV |\psi|^2 = \int dr 4\pi r^2 |\psi(r)|^2$

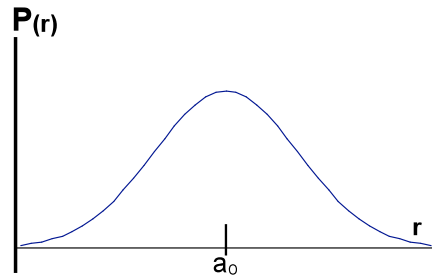
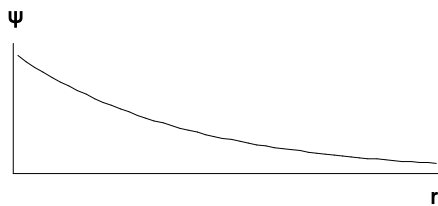
Prob (find in $r \rightarrow r + dr$) = $P(r)dr = 4\pi r^2 |\psi(r)|^2 dr$

$P(r)$ = radial probability density

Ground state: $\psi_{100} = A e^{-r/a_0}$

$$P(r) = |A|^2 4\pi r^2 e^{-2r/a_0}$$

Notice $P(r)$ very different from $\psi(r)$:



If $\ell \neq 0$, $\psi = \psi(r, \theta, \phi) = R(r) Y(\theta, \phi)$, then

$$\int dV |\psi|^2 = \underbrace{\int dr r^2 |R|^2}_1 \underbrace{\int d\Omega |Y|^2}_1 = 1 \quad \text{"solid angle"}$$

Prob (find in $r \rightarrow r + dr$) = $r^2 |R|^2 dr$

$$P(r) = r^2 |R|^2 \quad \text{even if } \ell \neq 0$$

Note: $\psi = \psi(r) = R \cdot Y = R \cdot \frac{1}{\sqrt{4\pi}} \Rightarrow |R|^2 = 4\pi |\psi|^2$ if

$$\text{so } P(r) = r^2 |R|^2 = 4\pi r^2 |\psi|^2$$

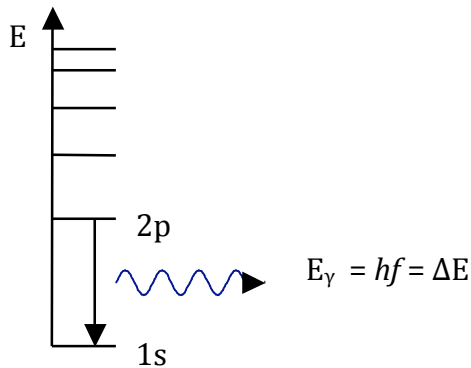
H-atom and emission/absorption of radiation:

If H-atom is in excited state ($n = 2$, $\ell = 1$, $m = 0$) then it is in energy eigenstate = stationary state. If atom is isolated, then atom should remain in state ψ_{210} forever, since stationary state has simple time dependence:

$$\Psi(\vec{r}, t) = \psi_{210}(r) \cdot e^{-iE_2 t/\hbar}$$

SJP QM 3220 3D 1

But, experimentally, we find that H-atom emits photon and de-excites: $\psi_{210} \rightarrow \psi_{100}$
in $\approx 10^{-7} \text{ s} \rightarrow 10^{-9} \text{ s}$



The reason that the atom does not remain in stationary state is that it is not truly isolated. The atom feels a fluctuating EM field due to "vacuum fluctuations". Quantum Electrodynamics is a relativistic theory of the QM interaction of matter and light. It predicts that the "vacuum" is not "empty" or "nothing" as previously supposed, but is instead a seething foam of virtual photons and other particles. These vacuum fluctuations interact with the electron in the H-atom and slightly alter the potential $V(r)$. So eigenstates of the coulomb potential are not eigenstates of the actual potential: $V_{\text{coulomb}} + V_{\text{vacuum}}$

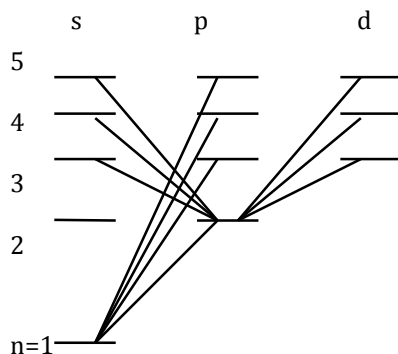
Photons possess an intrinsic angular momentum (spin) of $1 \hbar$, meaning

$$\ell = 1 \Rightarrow |\vec{L}| = \hbar \sqrt{\ell(\ell+1)} = \sqrt{2}\hbar$$

$$\text{and } L_{z_{\text{max}}} = \hbar$$

So when an atom absorbs or emits a single photon, its angular momentum must change by $1 \hbar$, by Conservation of Angular Momentum, so the orbital angular momentum quantum number ℓ must change by 1.

"Selection Rule": $\Delta \ell = \pm 1$ in any process involving emission or absorption of 1 photon \Rightarrow allowed transitions are:



If an H-atom is in state 2s ($n = 2, \ell = 0$) then it cannot de-excite to ground state by emission of a photon. (since this would violate the selection rule). It can only lose its energy (de-excite) by collision with another atom or via a rare 2-photon process.

Matrix Formulation of QM

complete orthonormal set

$$|\psi\rangle = \sum_n c_n |n\rangle, \quad |\psi\rangle \rightarrow \{c_n\} = \begin{pmatrix} c_1 \\ c_2 \\ c_3 \\ \vdots \\ c_n \\ \vdots \end{pmatrix}$$

$$|u_1\rangle = \begin{pmatrix} 1 \\ 0 \\ 0 \\ \vdots \end{pmatrix} \quad |u_2\rangle = \begin{pmatrix} 0 \\ 1 \\ 0 \\ \vdots \end{pmatrix}$$

$$|\psi\rangle = \sum_n c_n |u_n\rangle \rightarrow \begin{pmatrix} c_1 \\ c_2 \\ c_3 \\ \vdots \end{pmatrix} = c_1 \begin{pmatrix} 1 \\ 0 \\ 0 \\ \vdots \end{pmatrix} + c_2 \begin{pmatrix} 0 \\ 1 \\ 0 \\ \vdots \end{pmatrix} + \dots$$

If ket's are represented by column vectors, then bra's are represented by the transpose conjugate of column = row, complex conjugate.

$$|\psi\rangle = \sum_n c_n |u_n\rangle \rightarrow \langle\psi| = \sum_n c_n^* \langle u_n|$$

$$\langle\psi| = (c_1^* \ c_2^* \ c_3^* \ \dots)$$

$$\langle\psi|\psi\rangle = (c_1^* \ c_2^* \ c_3^* \ \dots) \begin{pmatrix} c_1 \\ c_2 \\ c_3 \\ \vdots \end{pmatrix} = |c_1|^2 + |c_2|^2 + \dots = \sum_n |c_n|^2$$

Operators can be represented by matrices:

no hat on matrix element

$$\hat{A} \rightarrow \{A_{mn}\} = \left\{ \langle m | \hat{A} | n \rangle \right\} = \begin{pmatrix} A_{11} & A_{12} & \dots \\ A_{21} & A_{22} & \\ \vdots & & \end{pmatrix}$$

where $\{|n\rangle\}$ is some complete orthonormal set.

SJP QM 3220 3D 1

Why is that? Where does that matrix come from?

Consider the operator \hat{A} and 2 state vectors $|\psi\rangle, |\varphi\rangle$ related by

$$|\varphi\rangle = \hat{A} |\psi\rangle \quad (\star)$$

In basis $\{|n\rangle\}$,

$$|\psi\rangle = \sum_n c_n |n\rangle = \sum_n |n\rangle \underbrace{\langle n|\psi\rangle}_{c_n}$$

$$|\varphi\rangle = \sum_n d_n |n\rangle = \sum_n |n\rangle \underbrace{\langle n|\varphi\rangle}_{d_n}$$

Now project equation \star onto $|m\rangle$ by acting with bra:

$$\langle m|\varphi\rangle = \langle m|\hat{A}|\psi\rangle = \sum_n c_n \langle m|\hat{A}|n\rangle$$

$$d_m = \sum_n A_{mn} c_n$$

But, this is simply the rule for multiplication of matrix \times column.

$$\begin{pmatrix} d_1 \\ d_2 \\ d_3 \\ \vdots \end{pmatrix} = \begin{pmatrix} A_{11} & A_{12} & \dots \\ A_{21} & A_{22} & \\ \vdots & & \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ \vdots \end{pmatrix}$$

So there you have it, that's why the operator is defined as this matrix, in this basis!

Now, suppose $\hat{A} = \hat{H}$ and $\{|n\rangle\}$ are energy eigenstates, then

$$\hat{H}|n\rangle = E_n |n\rangle, \quad \hat{H}_{mn} = \langle m|\hat{H}|n\rangle = E_n \delta_{mn}$$

$$\hat{H}|2\rangle = E_2 |2\rangle \Rightarrow \begin{pmatrix} E_1 & & & \mathbf{0} \\ & E_2 & & \\ & & E_3 & \\ \mathbf{0} & & & \ddots \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \\ \vdots \end{pmatrix} = E_2 \begin{pmatrix} 0 \\ 1 \\ 0 \\ \vdots \end{pmatrix}$$

A matrix operator $\langle m|\hat{A}|n\rangle$ is diagonal when represented in the basis of its own eigenstates, and the diagonal elements are the eigenvalues.

Notice that in general operators don't commute $\hat{A}\hat{B} \neq \hat{B}\hat{A}$. Same goes for Matrix Multiplication: $\mathbb{A} \mathbb{B} \neq \mathbb{B} \mathbb{A}$

SJP QM 3220 3D 1

Claim: The matrix of a hermitian operator is equal to its transpose conjugate:

$$\hat{A} \text{ hermitian} \Leftrightarrow A_{mn} = A_{nm}^*$$

Proof:

$$\begin{aligned} \langle m | \hat{A} n \rangle &= \langle \hat{A} m | n \rangle = \langle n | \hat{A} m \rangle^* \\ \Rightarrow A_{mn} &= A_{nm}^* \end{aligned}$$

Similarly, adjoint (or "Hermitian conjugate") $\hat{A}^\dagger : A_{mn}^\dagger = A_{nm}^*$

Proof:

$$\langle \hat{A} m | n \rangle = \langle m | \hat{A}^\dagger n \rangle = \langle n | \hat{A} m \rangle^*$$

Of course, it's difficult to do calculations if the matrices and columns are infinite dimensional. But there are Hilbert subspaces that are finite dimensional. For instance, in the H-atom, the full space of bound states is spanned by the full set $\{n, \ell, m\}$ ($= |n\ell m\rangle$). The sub-set $\{n=2, \ell=1, m = +1, 0, -1\}$ forms a vector space called a subspace.

Subspace? In ordinary Euclidean space, any plane is a subspace of the full volume. If we consider just the xy components of a vector $\vec{R}_{xy} = \hat{x}R_x + \hat{y}R_y$, then we have a perfectly valid 2D vector space, even though the "true" vector is 3D.

Likewise, in Hilbert space, we can restrict our attention to a subspace spanned by a small number of basis states.

Example: H-atom subspace $\{n=2, \ell=1, m = +1, 0, -1\}$

Basis states are $\{|m\rangle\} = | +1\rangle, |0\rangle, | -1\rangle$ (can drop $n=2, \ell=1$ in label since they are fixed.)

$$\begin{aligned} \hat{L}_z |m\rangle &= \hbar m |m\rangle \\ (\ell = 1) \\ \hat{L}^2 |m\rangle &= \hbar^2 \ell(\ell + 1) |m\rangle = 2\hbar^2 |m\rangle \quad (\text{for all } m) \end{aligned}$$

$$\Rightarrow (L_z)_{mn} = \langle m | \hat{L}_z | n \rangle = \hbar \begin{pmatrix} +1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

SJP QM 3220 3D 1

$$L^2_{mn} = \langle m | \hat{L}^2 | n \rangle = 2\hbar \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

(What about L_x ? L_y ?)

Before seeing what all this matrix stuff is good for, let's examine spin because it's very important physically and because it will lead to 2D Hilbert space with simple 2 x 2 matrices.

Review of Dirac Bra - Ket Notation

bracket or inner-product:

$$\langle f | g \rangle = \int dx f^*(x)g(x) \text{ or } \int dV f^*(\vec{r})g(\vec{r}) \text{ or } d\Omega (\theta, \varphi) \dots$$

Which integral you do depends on the configuration space of problem.

Key defining properties of bracket:

- $\langle f | g \rangle^* = \langle g | f \rangle$
- $\langle f | c \bullet g \rangle = c \langle f | g \rangle$, $\langle c \bullet f | g \rangle = c^* \langle f | g \rangle$ c = constant
- $\langle \alpha | (b | \beta \rangle + c | \gamma \rangle) = b \langle \alpha | \beta \rangle + c \langle \alpha | \gamma \rangle$

Dirac proclaims: $\langle g | f \rangle = \langle g |$ next to $| f \rangle$
bracket = "bra" and "ket"

Ket $| f \rangle$ represents vector in H-space (Hilbert Space)

$|\psi\rangle$ is to $\psi(x)$ as \vec{R} is to (R_x, R_y, R_z)

"ket"
"wavefunction"

Both ψ and $\psi(x)$ describe same state, but $|\psi\rangle$ is more general:

$$\left. \begin{aligned} \psi(x_0) &= \langle x_0 | \psi \rangle & \nwarrow g_{x_0} &= \delta(x - x_0) \\ \Phi(p) &= \langle p | \psi \rangle & \nwarrow f_p &= \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar} \\ \{e_n\} &= \{ \langle u_n | \psi \rangle \} & \nwarrow \hat{H} u_n &= E_n u_n \end{aligned} \right\} \begin{array}{l} \text{Different} \\ \text{"representations"} \\ \text{of same} \\ \text{H=space vector} \\ |\psi\rangle \end{array}$$

SJP QM 3220 3D 1

□ position-representation, momentum-rep, energy-rep.

SJP QM 3220 3D 1

What is a "bra"? $\langle g |$ is a new kind of mathematical object, called a "functional".

$$\langle g | \text{---} = \int dx g^*(x) \text{---}$$

Insert state function here

	<u>input</u>	<u>output</u>
function:	number	number
operator:	function	function
functional:	function	number

$\langle g |$ wants to bind with $| f \rangle$ to produce inner product $\langle g | f \rangle$

For every ket $| f \rangle$ there is a corresponding bra $\langle f |$. Like the kets, the bra's form a vector space.

- $| c f \rangle = c | f \rangle \Rightarrow \langle c f | = c^* \langle f |$
- $| \alpha f + \beta g \rangle = \alpha | f \rangle + \beta | g \rangle \Rightarrow \langle \alpha f + \beta g | = \alpha^* \langle f | + \beta^* \langle g |$ (?)
- $\langle \alpha f + \beta g | h \rangle = \alpha^* \langle f | h \rangle + \beta^* \langle g | h \rangle$ ✓

Complex number \times bra = another bra
any linear combo of bra's = another bra } \Rightarrow bra's form vector space

The vector space of bras is called a "dual space". It's the dual of the ket vector space.

$\hat{A}|f\rangle = |\hat{A}f\rangle$ is a ket. What is the corresponding bra? $|\hat{A}f\rangle \rightarrow \langle \hat{A}f| = \langle f| \hat{A}^\dagger \leftarrow$ Def'n of A^\dagger

Definition: hermitean conjugate or adjoint A^\dagger of operator \hat{A} (\hat{A}^\dagger = "A - dagger")

$$\langle \hat{A}f | g \rangle = \langle f | \hat{A}^\dagger g \rangle \quad \text{for all } f, g.$$

(If $\hat{A} = \hat{A}^\dagger$, then \hat{A} is hermitean or self-adjoint.)

Some properties:

- $(\hat{A}\hat{B})^\dagger = \hat{B}^\dagger \hat{A}^\dagger$
- $(\hat{A}^\dagger)^\dagger = \hat{A}$

$$\left[\begin{array}{l} \text{Proof : } \langle f | (\hat{A}^\dagger)^\dagger g \rangle = \langle \hat{A}^\dagger f | g \rangle = \\ \langle g | \hat{A}^\dagger f \rangle^* = \langle \hat{A}g | f \rangle^* = \langle f | \hat{A}f \rangle \end{array} \right] \checkmark$$

SJP QM 3220 3D 1

The adjoint of an operator is analogous to complex conjugate of a complex number:

$$c^{**} = c, \hat{A}^{\dagger\dagger} = \hat{A}$$

$$c^* = c \Rightarrow c \text{ real}, \hat{A}^\dagger = \hat{A} \Rightarrow \hat{A} \text{ hermitean.}$$

The "ket-bra" $|f\rangle\langle g|$ is an operator. It turns a ket (function) into another ket (function):

$$(|f\rangle\langle g|) |h\rangle = |f\rangle \langle g|h\rangle$$

Projection Operators

$$\hat{H} u_n(x) = E_n u_n(x) \rightarrow \hat{H}|n\rangle = E_n |n\rangle$$

$$\psi(x) = \sum_n c_n u_n(x) = \sum_n \langle u_n | \psi \rangle u_n(x) \rightarrow$$

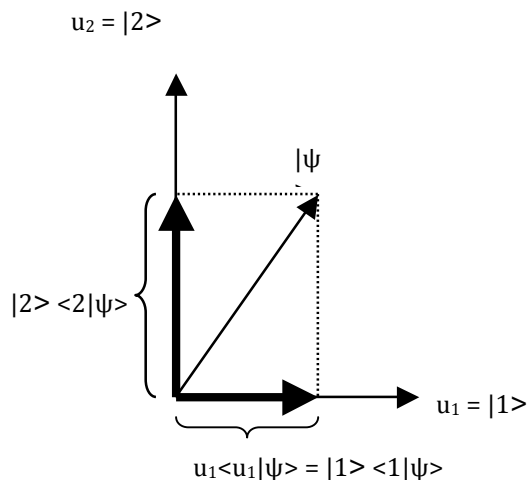
$$|\psi\rangle = \sum_n c_n |n\rangle = \sum_n \langle n | \psi \rangle |n\rangle = \sum_n |n\rangle \langle n | \psi \rangle$$

$$\boxed{\sum_n |n\rangle\langle n| = \hat{1}} \Rightarrow \begin{array}{l} \text{"Completeness relation"} \\ \text{-(discrete spectrum case)} \end{array}$$

$$\boxed{\hat{P}_n = |n\rangle\langle n|} = \text{"projection operator"}$$

\hat{P}_n picks out portion of vector $|\psi\rangle$ that lies along $|n\rangle$

$$\hat{P}_n |\psi\rangle = |n\rangle \langle n | \psi \rangle = c_n |n\rangle$$



$$|n\rangle\langle n| \text{ is like } \hat{x} (\hat{x} \cdot \underline{\quad})$$

$$\hat{x} (\hat{x} \cdot \vec{R}) = \hat{x} R_x$$

SJP QM 3220 3D 1

$$|\psi\rangle = \sum_n |n\rangle \langle n|\psi\rangle \text{ like } \vec{R} = \hat{x}(\vec{x} \cdot \vec{R}) + \hat{y}(\hat{y} \cdot \vec{R})$$

$$\hat{1} = \sum_n |n\rangle \langle n| \text{ like } \mathbf{1} = \hat{x}(\vec{x} \cdot \mathbf{1}) + \hat{y}(\hat{y} \cdot \mathbf{1})$$

Anywhere there is a vertical bar in the bracket, or a ket or a bra, we can replace the bar with 1 = $\sum_n |n\rangle \langle n|$

Example: $\langle \psi | \psi \rangle = 1$

$$\Rightarrow \sum_n \langle \psi | n \rangle \langle n | \psi \rangle = 1 \Rightarrow \sum_n c_n^* c_n = \sum_n |c_n|^2 = 1$$

If eigenvalue spectrum is continuous (as for \hat{x} or \hat{p}) then must use integral, rather than sum, over states.

$$\boxed{\int dx |x\rangle \langle x| = \hat{1}} \quad \text{Completeness Relation (continuous spectrum)}$$

$$\text{Example: } \Phi(p) = \langle f_p | \psi \rangle = \int dx \langle f_p | x \rangle \langle x | \psi \rangle = \frac{1}{2\pi\hbar} \int dx e^{-ipx/\hbar} \psi(x)$$

The Measurement Postulates 3 and 4 can be restated in terms of the projection operator:

$$\text{Starting with state } |\psi\rangle = \sum_n c_n |n\rangle = \sum_n |n\rangle \langle n | \psi \rangle,$$

where sum {n} is over any complete set of states, if we measure observable associated with n, then we will find value n_0 with probability

$$P(n_0) = |c_{n_0}|^2 = \langle \psi | n_0 \rangle \langle n_0 | \psi \rangle = \langle \psi | \hat{P}_{n_0} | \psi \rangle$$

$$\boxed{P(n_0) = \langle \hat{P}_{n_0} \rangle = \langle \psi | \hat{P}_{n_0} | \psi \rangle}$$

Probability of finding eigenvalue n_0 = expectation value of projection operator \hat{P}_{n_0} .

And as result of measurement state $|\psi\rangle$ collapses to state $|n_0\rangle = \hat{P}_{n_0} |\psi\rangle$.

(apart from normalization)

SJP QM 3220 3D 1


We can now generalize to case of states described by more than one eigenvalue, such as H-atom.

$$\Psi = \sum_{n\ell m} c_{n\ell m} \psi_{n\ell m} \rightarrow |\psi\rangle = \sum_{n\ell m} |n\ell m\rangle \langle n\ell m | \psi \rangle$$

If we measure energy (but not also $|\vec{L}|, L_z$), find n_0 , then we are projecting onto subspace spanned by $\{\ell, m\}$ with some n_0 .

$$\begin{aligned} \hat{P}_{n_0} &= \sum_{\ell m} |n_0 \ell m\rangle \langle n_0 \ell m| \\ P(n_0) &= \langle \psi | \hat{P}_{n_0} | \psi \rangle = \sum_{\ell m} |c_{n_0 \ell m}|^2 \end{aligned} \quad \left. \begin{array}{l} \ell = 0, 1 \dots (n_0 - 1) \\ m = -\ell \dots +\ell \end{array} \right\}$$

State collapses to $\hat{P}_{n_0} |\psi\rangle = \sum_{\ell m} |n_0 \ell m\rangle \langle n_0 \ell m | \psi \rangle$

must renormalize 

SJP QM 3220 3D 1

Spin $\frac{1}{2}$

Recall that in the H-atom solution, we showed that the fact that the wavefunction

$\Psi(r)$ is single-valued requires that the angular momentum quantum # be integer: $l =$

0, 1, 2.. However, *operator* algebra allowed solutions $l = 0, 1/2, 1, 3/2, 2...$

Experiment shows that the electron possesses an intrinsic angular momentum

called *spin* with $l = \frac{1}{2}$. By convention, we use the letter s instead of l for the spin

angular momentum quantum number : $s = \frac{1}{2}$.

The existence of spin is not derivable from non-relativistic QM. It is not a form of

orbital angular momentum; it cannot be derived from $\vec{L} = \vec{r} \times \vec{p}$.

(The electron is a point particle with radius $r = 0$.)

Electrons, protons, neutrons, and quarks all possess spin $s = \frac{1}{2}$. Electrons and

quarks are elementary point particles (as far as we can tell) and have no internal

structure. However, protons and neutrons are made of 3 quarks each. The 3 half-

spins of the quarks add to produce a total spin of $\frac{1}{2}$ for the composite particle (in a

sense, $\uparrow\uparrow\downarrow$ makes a single \uparrow). Photons have spin 1, mesons have spin 0, the delta-

particle has spin $3/2$. The graviton has spin 2. (Gravitons have not been detected

experimentally, so this last statement is a theoretical prediction.)

SJP QM 3220 3D 1

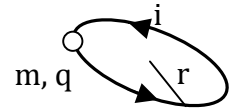
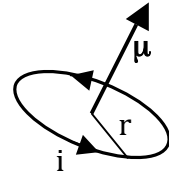
Spin and Magnetic Moment

We can detect and measure spin experimentally because the spin of a charged particle is always associated with a magnetic moment.

Classically, a magnetic moment is defined as a vector μ associated with a loop of current. The direction of μ is perpendicular to the plane of

the current loop (right-hand-rule), and the magnitude is

$$\mu = i A = i \pi r^2.$$



The connection between orbital angular momentum (not spin) and magnetic moment can be seen in the following classical model: Consider a particle with mass m , charge q in circular orbit of radius r , speed v , period T .

$$i = \frac{q}{T}, \quad v = \frac{2\pi r}{T} \Rightarrow i = \frac{qv}{2\pi r} \quad \mu = i A = \left(\frac{qv}{2\pi r} \right) (\pi r^2) = \frac{qvr}{2}$$

$$|\text{angular momentum}| = L = p r = m v r, \text{ so } v r = L/m, \text{ and } \mu = \frac{qvr}{2} = \frac{q}{2m} L.$$

So for a classical system, the magnetic moment is proportional to the orbital

angular momentum:
$$\vec{\mu} = \frac{q}{2m} \vec{L} \quad (\text{orbital})$$

The same relation holds in a quantum system.

In a magnetic field B , the energy of a magnetic moment is given by

$$E = -\vec{\mu} \cdot \vec{B} = -\mu_z B \text{ (assuming } \vec{B} = B\hat{z} \text{)}. \quad \text{In QM, } L_z = \hbar m.$$

Writing electron mass as m_e (to avoid confusion with the magnetic quantum number

m) and $q = -e$ we have $\mu_z = -\frac{e\hbar}{2m_e} m$, where $m = -\frac{1}{2}, \dots, +\frac{1}{2}$. The quantity

SJP QM 3220 3D 1

$\mu_B \equiv \frac{e\hbar}{2m_e}$ is called the Bohr magneton. The possible energies of the magnetic

moment in $\vec{B} = B\hat{z}$ is given by $E_{\text{orb}} = -\mu_z B = -\mu_B B m$.

For *spin* angular momentum, it is found experimentally that the associated magnetic

moment is twice as big as for the orbital case:

$$\vec{\mu} = \frac{q}{m} \vec{S} \quad (\text{spin})$$

(We use S instead of L when referring to spin angular momentum.)

This can be written $\mu_z = -\frac{e\hbar}{m_e} m = -2\mu_B m$.

The energy of a spin in a field is $E_{\text{spin}} = -2\mu_B B m$ ($m = \pm 1/2$) a fact which has been verified experimentally.

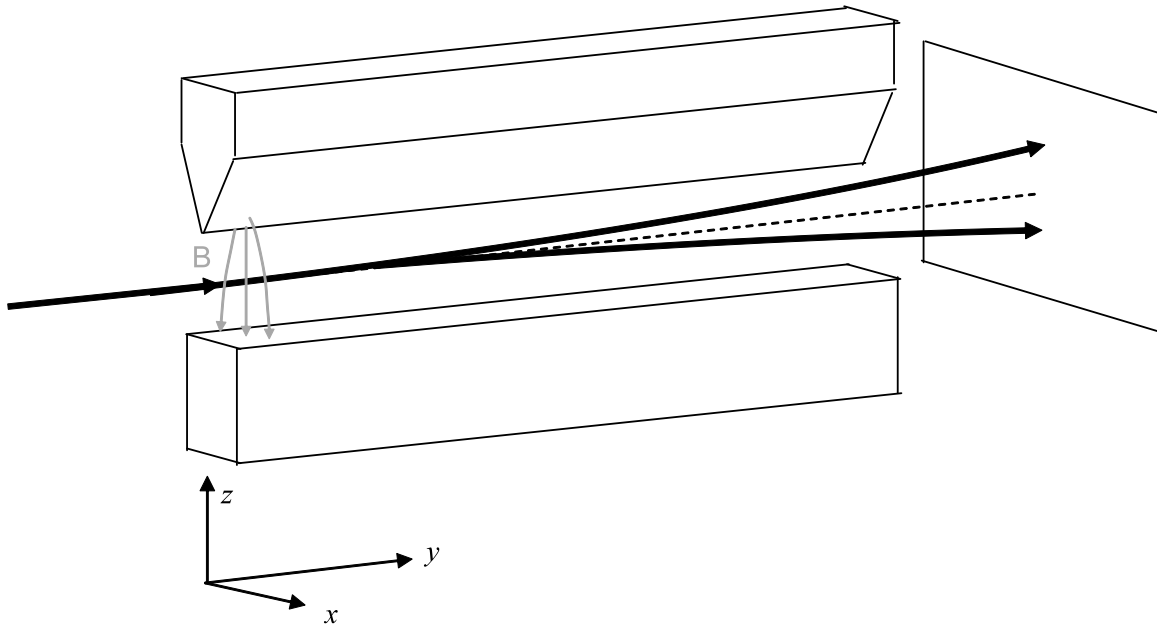
The existence of spin ($s = 1/2$) and the strange factor of 2 in the gyromagnetic ratio (ratio of $\vec{\mu}$ to \vec{S}) was first deduced from spectrographic evidence by Goudsmit and Uhlenbeck in 1925.

Another, even more direct way to experimentally determine spin is with a Stern-Gerlach device, next page

SJP QM 3220 3D 1

(This page from QM notes of Prof. Roger Tobin, Physics Dept, Tufts U.)

Stern-Gerlach Experiment (W. Gerlach & O. Stern, Z. Physik **9**, 349-252 (1922).



$\vec{F} = \vec{\nabla}(\vec{\mu} \cdot \vec{B}) = (\vec{\mu} \cdot \vec{\nabla})\vec{B}$ (in current free regions), or here, $\vec{F} = \hat{z}(\mu_z \frac{\partial B_z}{\partial z})$ (this is a little

crude - see Griffiths Example 4.4 for a better treatment, but this gives the main idea)

Deflection of atoms in z-direction is proportional to z-component of magnetic moment μ_z , which in turn is proportional to L_z . The fact that there are two beams is proof that $\ell = s = 1/2$. The two beams correspond to $m = +1/2$ and $m = -1/2$. If $\ell = 1$, then there would be three beams, corresponding to $m = -1, 0, 1$. The separation of the beams is a direct measure of μ_z , which provides proof that $\mu_z = -2\mu_B m$

The extra factor of 2 in the expression for the magnetic moment of the electron is often called the "g-factor" and the magnetic moment is often written as

$\mu_z = -g\mu_B m$. As mentioned before, this cannot be deduced from non-relativistic

QM; it is known from experiment and is inserted "by hand" into the theory.

SJP QM 3220 3D 1

However, a relativistic version of QM due to Dirac (1928, the "Dirac Equation") predicts the existence of spin ($s = \frac{1}{2}$) and furthermore the theory predicts the value $g = 2$. A later, better version of relativistic QM, called Quantum Electrodynamics (QED) predicts that g is a little larger than 2. The g -factor has been carefully measured with fantastic precision and the latest experiments give $g = 2.0023193043718(\pm 76 \text{ in the last two places})$. Computing g in QED requires computation of an infinite series of terms that involve progressively more messy integrals, that can only be solved with approximate numerical methods. The computed value of g is not known quite as precisely as experiment, nevertheless the agreement is good to about 12 places. QED is one of our most well-verified theories.

Spin Math

Recall that the angular momentum commutation relations

$$[L^2, L_z] = 0, \quad [L_i, L_j] = i\hbar L_k \quad (i, j, k \text{ cyclic})$$

were derived from the definition of the orbital angular momentum operator:

$$\vec{L} = \vec{r} \times \vec{p}.$$

The spin operator \vec{S} does not exist in Euclidean space (it doesn't have a position or momentum vector associated with it), so we cannot derive its commutation relations in a similar way. Instead we boldly **postulate** that the same commutation relations hold for spin angular momentum:

$$[S^2, S_z] = 0, \quad [S_i, S_j] = i\hbar S_k. \quad \text{From these, we derive, just as before, that}$$

SJP QM 3220 3D 1

$$S^2 |s m_s\rangle = \hbar^2 s(s+1) |s m_s\rangle = \frac{3}{4} \hbar^2 |s m_s\rangle \quad (\text{since } s = 1/2)$$

$$S_z |s m_s\rangle = \hbar m_s |s m_s\rangle = \pm \frac{1}{2} \hbar |s m_s\rangle \quad (\text{since } m_s = -s, +s = -1/2, +1/2)$$

Notation: since $s = 1/2$ always, we can drop this quantum number, and specify the eigenstates of L^2, L_z by giving only the m_s quantum number. There are various ways

$$\text{to write this: } |s m_s\rangle = |m_s\rangle = \begin{matrix} |+\frac{1}{2}\rangle, |-\frac{1}{2}\rangle \\ |+\rangle, |-\rangle \\ |\uparrow\rangle, |\downarrow\rangle \end{matrix}$$

These states exist in a 2D subset of the full Hilbert Space called *spin space*. Since these two states are eigenstates of a hermitian operator, they form a complete orthonormal set (within their part of Hilbert space) and any, arbitrary state in spin space can always be written as $|\chi\rangle = a|\uparrow\rangle + b|\downarrow\rangle = \begin{pmatrix} a \\ b \end{pmatrix}$ (Griffiths' notation is

$$\chi = a\chi_+ + b\chi_-)$$

$$\text{Matrix notation: } |\uparrow\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |\downarrow\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad \text{Note that } \langle\uparrow|\uparrow\rangle = \langle\downarrow|\downarrow\rangle = 1, \quad \langle\uparrow|\downarrow\rangle = 0$$

If we were working in the full Hilbert Space of, say, the H-atom problem, then our basis states would be $|n \ell m_\ell m_s\rangle$. Spin is another degree of freedom, so that the full specification of a basis state requires 4 quantum numbers. (More on the connection between spin and space parts of the state later.)

[Note on language: throughout this section I will use the symbol S_z (and S_x , etc) to refer to both the observable ("the measured value of S_z is $+\hbar/2$ ") and its associated operator ("the eigenvalue of S_z is $+\hbar/2$ ").]

SJP QM 3220 3D 1

The matrix form of S^2 and S_z in the $|m^{(z)}\rangle$ basis can be worked out element by

element. (Recall that for any operator \hat{A} , $A_{mn} = \langle m | \hat{A} | n \rangle$.)

$$\langle \uparrow | S^2 | \uparrow \rangle = \frac{3}{4} \hbar^2, \quad \langle \uparrow | S^2 | \downarrow \rangle = 0, \text{ etc.} \quad \langle \uparrow | S_z | \uparrow \rangle = +\frac{1}{2} \hbar, \quad \langle \uparrow | S_z | \downarrow \rangle = 0, \text{ etc.}$$

$$\boxed{S^2 = \frac{3}{4} \hbar^2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad S_z = \frac{1}{2} \hbar \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}}$$

Operator equations can be written in matrix form, for instance,

$$S_z | \uparrow \rangle = +\frac{\hbar}{2} | \uparrow \rangle \quad \Rightarrow \quad \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = +\frac{\hbar}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

We are going ask what happens when we make measurements of S_z , as well as S_x

and S_y , (using a Stern-Gerlach apparatus). Will need to know: What are the

matrices for the operators S_x and S_y ? These are derived from the raising and

lowering operators:

$$\begin{aligned} S_+ &= S_x + i S_y & \Rightarrow & \quad S_x = \frac{1}{2} (S_+ + S_-) \\ S_- &= S_x - i S_y & & \quad S_y = \frac{1}{2i} (S_+ - S_-) \end{aligned}$$

To get the matrix forms of S_+ , S_- , we need a result from the homework:

$$\begin{aligned} S_+ |s, m_s\rangle &= \hbar \sqrt{s(s+1) - m(m+1)} |s, m_s + 1\rangle \\ S_- |s, m_s\rangle &= \hbar \sqrt{s(s+1) - m(m-1)} |s, m_s - 1\rangle \end{aligned}$$

For the case $s = \frac{1}{2}$, the square root factors are always 1 or 0. For instance, $s = \frac{1}{2}$,

$m = -1/2$ gives $s(s+1) - m(m+1) = \frac{1}{2}(\frac{3}{2}) - (-\frac{1}{2})(\frac{1}{2}) = 1$. Consequently,

$$S_+ | \downarrow \rangle = \hbar | \uparrow \rangle, \quad S_+ | \uparrow \rangle = 0 \quad \text{and} \quad S_- | \uparrow \rangle = \hbar | \downarrow \rangle, \quad S_- | \downarrow \rangle = 0, \text{ leading to}$$

$$\langle \uparrow | S_+ | \uparrow \rangle = 0, \quad \langle \uparrow | S_+ | \downarrow \rangle = \hbar, \text{ etc. and}$$

SJP QM 3220 3D 1

$$S_+ = \hbar \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad S_- = \hbar \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

Notice that S_+ , S_- are not hermitian.

Using $S_x = \frac{1}{2}(S_+ + S_-)$ and $S_y = \frac{1}{2i}(S_+ - S_-)$ yields

$$S_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad S_y = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

These are hermitian, of course.

Often written: $\vec{S} = \frac{\hbar}{2} \vec{\sigma}$, where $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, $\sigma_y = \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}$, $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ are

called the *Pauli spin matrices*.

Now let's make some measurements on the state $|\chi\rangle = a|\uparrow\rangle + b|\downarrow\rangle = \begin{pmatrix} a \\ b \end{pmatrix}$.

Normalization: $\langle\chi|\chi\rangle = 1 \Rightarrow |a|^2 + |b|^2 = 1$.

Suppose we measure S_z on a system in some state $|\chi\rangle = \begin{pmatrix} a \\ b \end{pmatrix}$.

Postulate 2 says that the possible results of this measurement are one of the S_z eigenvalues: $+\hbar/2$ or $-\hbar/2$. Postulate 3 says the probability of finding, say $-\hbar/2$,

is $\text{Prob}(\text{find } -\hbar/2) = |\langle\downarrow|\chi\rangle|^2 = \left| \begin{pmatrix} 0 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} \right|^2 = |b|^2$.

Postulate 4 says that, as a result of this measurement, which found $-\hbar/2$, the initial state $|\chi\rangle$ collapses to $|\downarrow\rangle$.

But suppose we measure S_x ? (Which we can do by rotating the SG apparatus.)

What will we find? Answer: one of the eigenvalues of S_x , which we show below are the same as the eigenvalues of S_z : $+\hbar/2$ or $-\hbar/2$. (Not surprising, since there is

SJP QM 3220 3D 1

nothing special about the z-axis.) What is the probability that we find, say, $S_x =$

$+\hbar/2$? To answer this we need to know the eigenstates of the S_x operator. Let's

call these (so far unknown) eigenstates $|\uparrow^{(x)}\rangle$ and $|\downarrow^{(x)}\rangle$ (Griffiths calls them

$|\chi_+^{(x)}\rangle$ and $|\chi_-^{(x)}\rangle$). How do we find these? We must solve the eigenvalue equation:

$S_x |\chi\rangle = \lambda |\chi\rangle$, where λ are the unknown eigenvalues. In matrix form this is,

$$\begin{pmatrix} 0 & \hbar/2 \\ \hbar/2 & 0 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \lambda \begin{pmatrix} a \\ b \end{pmatrix} \text{ which can be rewritten } \begin{pmatrix} -\lambda & \hbar/2 \\ \hbar/2 & -\lambda \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = 0. \text{ In}$$

linear algebra, this last equation is called the characteristic equation.

This system of linear equations only has a solution if

$$\text{Det} \begin{pmatrix} -\lambda & \hbar/2 \\ \hbar/2 & -\lambda \end{pmatrix} = \begin{vmatrix} -\lambda & \hbar/2 \\ \hbar/2 & -\lambda \end{vmatrix} = 0. \text{ So } \lambda^2 - (\hbar/2)^2 = 0 \Rightarrow \lambda = \pm \hbar/2$$

As expected, the eigenvalues of S_x are the same as those of S_z (or S_y).

Now we can plug in each eigenvalue and solve for the eigenstates:

$$\frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} a \\ b \end{pmatrix} \Rightarrow a = b ; \quad \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = -\frac{\hbar}{2} \begin{pmatrix} a \\ b \end{pmatrix} \Rightarrow a = -b.$$

$$\text{So we have } |\uparrow^{(x)}\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \text{ and } |\downarrow^{(x)}\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

Now back to our question: Suppose the system in the state $|\uparrow^{(z)}\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, and we

measure S_x . What is the probability that we find, say, $S_x = +\hbar/2$? Postulate 3 gives

SJP QM 3220 3D 1

the recipe for the answer:

$$\text{Prob}(\text{find } S_x = +\hbar/2) = \left| \langle \uparrow^{(x)} | \uparrow^{(z)} \rangle \right|^2 = \left| \frac{1}{\sqrt{2}} (1 \ 1) \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right|^2 = \left| \frac{1}{\sqrt{2}} \right|^2 = 1/2$$

Question for the student: Suppose the initial state is an arbitrary state $|\chi\rangle = \begin{pmatrix} a \\ b \end{pmatrix}$

and we measure S_x . What are the probabilities that we find $S_x = +\hbar/2$ and $-\hbar/2$?

SJP QM 3220 3D 1

Let's review the strangeness of Quantum Mechanics.

Suppose an electron is in the $S_x = +\hbar/2$ eigenstate $|\uparrow^{(x)}\rangle = \frac{1}{\sqrt{2}}\begin{pmatrix} 1 \\ 1 \end{pmatrix}$. If we ask: What

is the value of S_x ? Then there is a definite answer: $+\hbar/2$. But if we ask: What is the value of S_z , then this is no answer. The system *does not possess* a value of S_z . If we measure S_z , then the act of measurement will produce a definite result and will force the state of the system to collapse into an eigenstate of S_z , but that very act of measurement will destroy the definiteness of the value of S_x . The system can be in an eigenstate of either S_x or S_z , but not both.