

EM Waves in conductors: So far we've solved Maxwell's Eq's when  $\rho = J = 0$  (in "free space" or linear dielectrics). We found SIMPLE TRAVELING WAVES (& then used BC's, Boundary Conditions, to find Reflection + Transmission, at the boundaries) But what happens if the material conducts? The dominant physics is no longer " $\vec{E}$  interacting with bound charges", (although that is still present), it's " $\vec{E}$  interacting with free charges"! As always, in full generality it can be complicated, but with a few simplifying assumptions we can get some cool results, including:

- Metals are shiny ( $R$  is generally big)
- Metals are opaque
- Metals have a small skin depth into which fields penetrate ( $\vec{E}$ )
- EM waves travel slower in metal than we might naively guess
- $\vec{B}$  &  $\vec{E}$  are not in phase in metals ( $\Rightarrow$  you get radiation pressure!)
- $B$  dominates inside metals (most energy is in  $B$  fields)

All this comes from Maxwell's Eq's + BC's!

Statically, we know  $\vec{E} = 0$  and  $p_f = 0$  inside metals. But, what if  $\vec{E}$  oscillates? Can you build up some charges locally?

Suppose you do: Imagine dumping  $p_0 \neq 0$  deep inside a metal, at  $t=0$ . What happens? We know it will tend to repel itself + head to the edges. How long does that take?

$$\vec{\nabla} \cdot \vec{j} = -\partial p / \partial t \quad \leftarrow \text{this is conservation of charge, yes? !}$$

$$\vec{\nabla} \cdot (\sigma \vec{E}) = -\partial p / \partial t \quad \leftarrow \text{Ohm's law. } \sigma \equiv \text{conductivity, (it's not surface charge.)}$$

$$\sigma (\vec{\nabla} \cdot \vec{E}) = -\partial p / \partial t \quad \leftarrow \text{Let's assume homogeneous conductor}$$

$$\sigma p / \epsilon_0 = -\partial p / \partial t \quad \leftarrow \text{That's Gauss' law!}$$

$$\text{so } \partial p / \partial t = -\frac{\sigma}{\epsilon_0} p. \text{ This has a simple sol'n, } p = p_0 e^{-\frac{\sigma}{\epsilon_0} t}$$

Conclusion: If you do build up a  $p_0$  anywhere, it dissipates fast!

$$\text{It's gone in time } \approx \tau_{\text{ohmic}} = \epsilon_0 / \sigma = \frac{8.85 \cdot 10^{-12}}{10^8} \text{ in SI units } \approx 10^{-19} \text{ s !!}$$

For  $t \gg \tau_{\text{ohm}}$ , we'll have  $p = 0$  in conductors.

So, no need to worry about charge buildup (except super high frequencies)

This seems reasonable, it's my strong intuition from Phys 3310, where we always said  $p = 0$  everywhere inside metals.

If you take into account the fact that bound charges can polarize, just replace  $\vec{\nabla} \cdot \vec{E} = \rho/\epsilon_0$  with  $\vec{\nabla} \cdot (\epsilon \vec{E}) = \rho_F$  and on the right side, replace  $\rho$  with  $\rho_F$ , the free charges are the only ones that can "run away". This small change gives

$\tau_{\text{Ohmic, modified}} = \epsilon/\sigma$  A slightly longer time. Makes sense to me, polarizing atoms in the lattice always reduces internal  $E$  fields, which are what are responsible for this dissipation of charge to surfaces.

There is yet another time scale involved here, it's hidden in  $\vec{J} = \sigma \vec{E}$ . Ohm's law arises from impurities & collisions, which have their own time scale! Typically,  $\tau_{\text{collision}} \approx \frac{\text{interaction distance}}{\text{typical } e^- \text{ speed}} \approx 10^{-14} \text{ s}$  for normal metals

Bottom line : If we consider high frequency  $E$  fields then life will be more complicated. But if  $f = \frac{1}{T} \ll \begin{cases} 1/\tau_{\text{Ohmic}} \\ \text{and } 1/\tau_{\text{collision}} \end{cases}$  then

a)  $f \ll 1/\tau_{\text{Ohm}}$  lets us cheerfully set  $\rho_F = 0$  in Gauss' law (because there's plenty of time for charge to dissipate)

b)  $f \ll 1/\tau_{\text{collision}}$  lets us cheerfully use Ohm's law,  $\vec{J} = \sigma \vec{E}$  (because there's plenty of time for collision to occur which gives  $\rho_F$ )

For  $f \leq 10^{14}$  Hz, we should be good to go. This includes radio, TV, microwaves, ... almost up to visible light. (But, e.g. X-rays really require a re-analysis!) Summarizing Maxwell's Eqs's:

$$\vec{\nabla} \cdot \vec{E} \approx 0 \quad \leftarrow \text{This is from prev page, } \rho \approx 0$$

$$\begin{aligned} \vec{\nabla} \cdot \vec{B} &= 0 \\ \vec{\nabla} \times \vec{E} &= -\partial \vec{B} / \partial t \end{aligned} \quad \leftarrow \text{always!}$$

$$\vec{\nabla} \times \vec{H} = \vec{J}_f + \partial \vec{D} / \partial t \Rightarrow \text{(Assuming linear, homogeneous material)}$$

$$\vec{\nabla} \times \vec{B} = \mu \vec{J}_F + \mu \epsilon \partial \vec{E} / \partial t$$

This set of eqns is nearly identical to our dielectric story (with  $\rho_F = 0$  assumed) There is one small correction, we have an extra term  $\mu \vec{J}_F = \mu \sigma \vec{E}$  (from Ohm's law). We cannot let  $\vec{J}_f = 0$  here!!

Metals conduct, there are currents. This makes all the difference. We will see that for good conductors (big  $\sigma$ ) this new term dominates. So the final Maxwell eq'n is

$$\vec{\nabla} \times \vec{B} = \mu \sigma \vec{E} + \mu \epsilon \partial \vec{E} / \partial t$$

$\hookrightarrow$  This is what's new. (It wasn't there for empty space, + we ignored any  $J_f$  in dielectrics too)

If we set up a situation like last section, i.e. sending EM waves in to a metal, this new term  $\Rightarrow$  Ohmic currents appear. But these always dissipate energy. So we can not expect "free EM wave" solns inside metals! Such waves should quickly dump energy into thermal heating. I expect  $\vec{E}$  to dissipate rapidly with distance into metals. So I'm anticipating a "skin depth" effect, from Ohm's law.

Let's see! We use our (now standard!) trick to combine Maxwell Eqs, take the curl of Faraday's law, i.e.  $\vec{\nabla} \times (\vec{\nabla} \times \vec{E}) = -\frac{\partial}{\partial t} \vec{\nabla} \times \vec{B}$

$$\text{so } \vec{\nabla}(\vec{\nabla} \cdot \vec{E}) - \vec{\nabla}^2 \vec{E} = -\underbrace{(\mu\sigma \frac{\partial \vec{E}}{\partial t} + \mu\epsilon \frac{\partial^2 \vec{E}}{\partial t^2})}_{\text{this comes from } -\frac{\partial}{\partial t} \text{ (Right side of Eq'n at bottom of previous page)}}$$

↑  
Vanishes, as we  
argued

$$\text{so } \vec{\nabla}^2 \vec{E} = \mu\sigma \frac{\partial \vec{E}}{\partial t} + \mu\epsilon \frac{\partial^2 \vec{E}}{\partial t^2}$$

Similar to our old  
wave eq'n!

↑ This is new, and  $\sigma$  is big for good conductors.  
So it will matter!

Can we solve it? You can always just try something!

$$\text{How about our usual ansatz, } \vec{E} = \vec{E}_0 e^{i(\vec{k} \cdot \vec{r} - wt)}$$

Let's just try it! ...

I'll pick  $\hat{K} \equiv \hat{z}$  for simplicity (pick your  $z$  axis!), so  
 $\hat{\vec{E}} = \hat{E}_0 e^{i(Kz - \omega t)}$ . Taking  $\vec{\nabla}^2$ , only  $\partial^2/\partial z^2$  will enter, +

$\nabla^2$  gives  $-K^2$  our front. Similarly,  $\partial/\partial t$  pulls down  $-i\omega$ ,

and  $\partial^2/\partial t^2$  gives  $-\omega^2$  our front. So our eq'n reads

$$-K^2 \hat{E}_0 e^{i(Kz - \omega t)} = -i\omega \mu \sigma \hat{E}_0 e^{i(\dots)} - \omega^2 \mu \epsilon \hat{E}_0 e^{i(\dots)}$$

Cancelling out the common  $\hat{E}_0 e^{i(\dots)}$ , and a minus sign, we get

$$K^2 = i\omega \mu \sigma + \omega^2 \mu \epsilon. \quad (\text{complex !!})$$

(From  $\vec{J}_F$ ) (From displacement current) Conclusion: our trial sol'n does work, as long as  $K$  satisfies this.

(Let's rename it  $\tilde{K}$ , to remind ourselves this is now complex!)

The eq'n is familiar! In vacuum, where  $\sigma=0$ , we used to have

$$K^2 = \mu_0 \epsilon_0 \omega^2, \text{ which told us } V = \frac{K}{\omega} = \frac{1}{\sqrt{\mu_0 \epsilon_0}}$$

Comment 1:  $\vec{\nabla} \cdot \vec{E} = 0$  tells us  $K(\hat{\vec{E}}_0)_z = 0$ , so once again, as before, our sol'n is transverse.  $\hat{\vec{E}}_0$  is  $\perp$  to the  $\hat{K}$  direction.

Comment 2: Taking curl of (Ampere-Maxwell) will, as usual, give us the exact same general sol'n for  $\vec{B}$ , with exact same  $\tilde{K}^2$ .

Try it!

So we have a sol'n, except with the new twist that  $\tilde{K}$  is complex.

We need  $\tilde{K}$ , not  $\tilde{K}^2$ , in our sol'n  $\tilde{E} = \tilde{E}_0 e^{i(\tilde{K}z - wt)}$ .

Taking the  $\sqrt{\tilde{K}^2}$  is slightly tricky, you need to think about it!

Method 1: Write  $\tilde{K}^2 = |\text{Mag|nitude}| e^{i\theta}$  (you can always write any complex # this way). Then  $\tilde{K} = \sqrt{|\text{Mag}|} e^{i\theta/2}$ . Done!

Method 2: Write  $\tilde{K}^2 = a + bi$  (here  $a = \mu\epsilon\omega^2$ ,  $b = \mu\sigma\omega$ )

If  $\tilde{K} = K_R + K_{Im} i$ , then  $\tilde{K}^2 = K_R^2 - K_{Im}^2 + 2K_R K_{Im} i$

This gives 2 eq'n's for 2 unknowns  $K_R$  &  $K_{Im}$ . Griffiths just writes down the sol'n,  $K_R = \omega \sqrt{\frac{\epsilon_0}{\mu}} \left[ \sqrt{1 + (\sigma/\epsilon\omega)^2} + 1 \right]^{1/2}$  (Try it yourself!)

$$K_{Im} = \omega \sqrt{\frac{\epsilon_0}{\mu}} \left[ \sqrt{1 + (\sigma/\epsilon\omega)^2} - 1 \right]^{1/2}$$

But I'm going to simplify my life by continuing to assume we have a good conductor, i.e.  $\sigma$  is big. How big? Well, earlier we said we should limit ourselves to frequencies  $\ll \sigma/\epsilon$  (to ensure no buildup of  $\rho$  anywhere in the conductor) so by "good" I now mean  $\boxed{\sigma \gg \epsilon\omega}$

and thus,  $\sigma\mu\omega \gg \mu\epsilon\omega^2$ , which means

$$\tilde{K}^2 = \underbrace{i\sigma\mu\omega}_{\text{big } \sigma \text{ means this dominates}} + \underbrace{\omega^2\mu\epsilon}_{\text{neglect. (In physics terms, } \vec{J}_f \text{ dominates over the displacement current!)}} \approx \overline{(i\sigma\mu\omega)} i$$

big  $\sigma$  means this dominates neglect. (In physics terms,  $\vec{J}_f$  dominates over the displacement current! )

We are limiting ourself to  $\omega \ll \sigma/\epsilon$ , even for a "good" conductor. Numerically,  $\sigma/\epsilon \approx 10^{19}$  or so, this leaves plenty of interesting physics to investigate. In this limit,

$$\tilde{K} = \sqrt{\sigma\mu\omega} \sqrt{i} = \sqrt{\sigma\mu\omega} \sqrt{e^{i\pi/2}} = \sqrt{\frac{\sigma\mu\omega}{2}} (1+i)$$

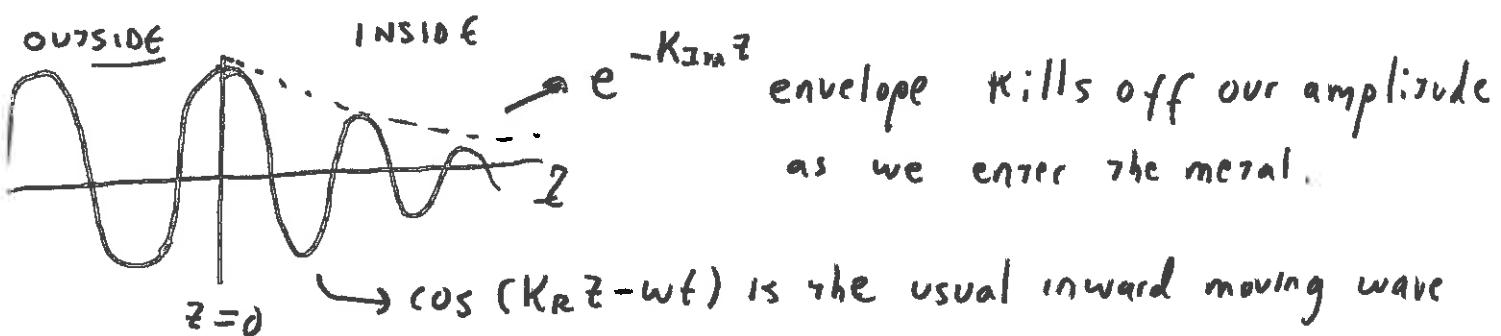
$$\left(\text{check! } \left(\frac{1+i}{\sqrt{2}}\right)^2 = \frac{1+2i-1}{2} = i, \text{ Nice.}\right) \text{ So } \tilde{K} = K_R + K_{Im}i \\ = \sqrt{\frac{\mu\omega}{2}} + \sqrt{\frac{\mu\omega}{2}} i$$

and our final sol'n for  $\vec{E}$  in the conductor is

$$\vec{E} = \vec{E}_0 e^{i(Kz-wt)} = \vec{E}_0 e^{-K_{Im}z} e^{i(K_R z - wt)}$$

This is a constant, transverse \* dying \* usual traveling wave, with  
vector out front exponential speed  $v = \omega/K_R$

(of course, as always, the physical  $\vec{E}$  will be the real part of this!)



This wave dies in distance  $d \approx \frac{1}{K_{Im}}$   $\equiv$  "Skin depth"

In our "good conductor" case,  $d \approx \sqrt{\frac{2}{\mu\omega}}$  (see above, where we found  $K_{Im}$ )

Depends on frequency!

9-47

For normal metals with  $\sigma \sim 10^8$ ,  $\mu \approx \mu_0 = 4\pi \cdot 10^{-7}$ ,

For radio waves,  $\omega \sim 10^6$  or so (MHz),  $d = \sqrt{\frac{2}{4\pi \cdot 10^{-7} \cdot 10^8 \cdot 10^6}} \approx 10^{-4} \text{ m}$

For optical,  $\omega \sim 10^{15}$  or so (which is admittedly pushing our order assumption from collision time, but not by too much)

$$d_{\text{optical}} \approx \sqrt{\frac{2}{4\pi \cdot 10^{-7} \cdot 10^8 \cdot 10^{15}}} \sim \text{few } \underline{\text{nm}}, \text{ (10's of atomic distances)}$$

Conclusions: Metal has small skin depth for any frequencies we'll likely to be interested in. So even a thin coat of metal will "act like a conductor" for EM waves. And, even a micron of Aluminum will block EM waves, so Aluminum foil is opaque to visible light!

Meanwhile,  $K_R = \sqrt{\frac{\mu_0 \omega}{2}}$ , and the speed  $v$  of our travelling wave (called " $v_p$ " for "phase velocity") is  $\omega/K_R = \omega \sqrt{\frac{2}{\mu_0 \omega}} = \sqrt{\frac{2 \omega}{\mu_0}}$

$$\text{Let's compare this to } c : \frac{v_p}{c} = \sqrt{\frac{2 \omega}{\mu_0}} \cdot \sqrt{\mu_0 \epsilon_0} \approx \sqrt{2 \omega \epsilon_0 / \sigma}$$

But for "good conductors",  $\sigma \gg \omega \epsilon$ , so this means  $v_p \ll c$ .

- EM waves travel slower than  $c$ , by a lot. (Not because of  $\epsilon$ !)
- Different frequencies have different speeds! (We'll come back to this, it's called "dispersion")

9-48

What about  $\vec{B}$ ? I claimed  $\vec{\nabla} \times (\text{Maxwell-Ampere's law})$  gives

$$\tilde{\vec{B}} = \tilde{\vec{B}_0} e^{i(\tilde{K}z - wt)} \quad (\text{same general form, with same } \tilde{K}), + \underline{\text{Faraday}}$$

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \quad \text{gives} \quad i \tilde{\vec{K}} \times \tilde{\vec{E}_0} e^{i(\tilde{K}z - wt)} = -(-iw) \tilde{\vec{B}_0} e^{i(\dots)}$$

$$\text{so } \tilde{\vec{B}_0} = \frac{i \tilde{\vec{K}} \times \tilde{\vec{E}_0}}{w}. \quad \text{This is very familiar, except now } \tilde{K} \text{ is complex!}$$

$$\text{We're used to } B_0 = E_0/V, \text{ but now } |B_0| = \frac{|E_0|}{w/|\tilde{K}|}$$

$$\text{Using } |\tilde{K}| = \sqrt{K_R^2 + K_{Im}^2} = \sqrt{\mu_0 \omega}, \text{ we get } |B_0| = |E_0| \cdot \sqrt{\frac{\mu_0}{w}}$$

$$\text{once again, note that } \sigma \gg w \epsilon_0 \text{ ("good conductor")} \quad = \frac{|E_0|}{c} \sqrt{\frac{\sigma}{\epsilon_0 w}}$$

so  $B$  is much larger than you'd find in vacuum for that  $\vec{E}$  field.

(And, correspondingly much more energy is stored in  $B^2$ )

The reason is that in vacuum,  $B$  arises purely from  $\vec{J}_{\text{displacement}}$ ,

But now  $\vec{J}_f$  dominates, it's  $\gg \vec{J}_{\text{disp}}$ , + so the  $\vec{B}$  field comes from the real physical currents produced by this  $\vec{E}$ )

9-49

Since  $\vec{B}_0 = \frac{\tilde{K}}{\omega} \times \hat{\vec{E}}_0$  with  $\tilde{K}$  complex, there is a phase difference

between  $\vec{B}$  &  $\vec{E}$  that we've never had up to now. In our

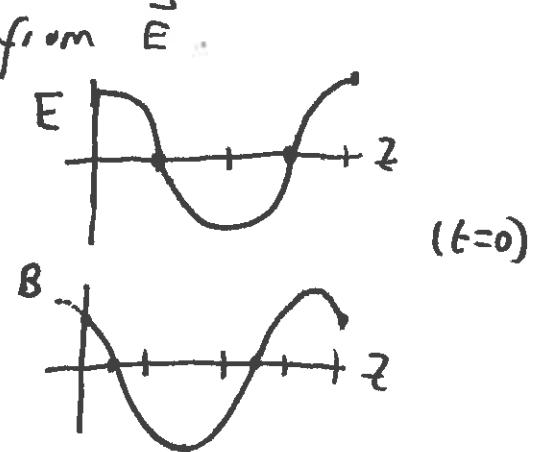
"good conductor" limit,  $\tilde{K} = \sqrt{\mu_0 \omega} \left( \frac{1+i}{\sqrt{2}} \right) = \sqrt{\mu_0 \omega} e^{i\pi/4}$ .

So  $\vec{B}$  is shifted by  $\pi/4$  ( $1/8$  of a cycle) from  $\vec{E}$ .

So if  $\vec{E} = \dots \cos(K_R z - \omega t)$  then

$$\vec{B} = \dots \cos(K_R z - \omega t + \frac{\pi}{4})$$

$\vec{B}$  lags behind  $\vec{E}$ , by  $1/8$  of a cycle.



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This phase shift has an interesting physical consequence.

$\vec{E}$  drives free electrons, they move in  $\vec{E}$  direction, + as they move,  $q \vec{v} \times \vec{B}$  applies a Lorenz force, in the  $\vec{v} \times \vec{B} = \vec{S}$  direction or  $\vec{K}$

If  $\vec{E} + \vec{B}$  were perfectly in phase,  $\vec{v} \cdot \vec{B}$  would be  $90^\circ$  out of phase (By Newton's law!  $\vec{F} = q \vec{E} = m \ddot{\vec{v}}$ , and one derivation brings down an  $i$  from  $e^{i\omega t}$ , which is  $90^\circ$ ). Thus, you'd get no time average Lorenz force. But with the lag, you get a nonzero  $\langle \vec{F}_{\text{Lorenz}} \rangle$

So this is a physical origin of radiation pressure!

9.49b (Details)

Lorenz z force:

$$\text{If } \vec{E} = E_0 \hat{x} e^{i(kz - wt)}, \quad g \vec{E} = m \vec{x}, \quad \text{at } z=0, \text{ if } x = x_0 e^{-iwt}$$

$$\text{so } g E_0 = -m \omega^2 x_0 \Rightarrow x_0 = \frac{g E_0}{m \omega^2} e^{i\pi}$$

$$\text{and } \vec{v} = \vec{x} = -i\omega \vec{x} \Rightarrow v_0 = \frac{g E_0}{m \omega^2} e^{i\pi/2}$$

$$\text{Also } \vec{B} = \frac{E_0}{V} e^{i\pi/4} \hat{y} e^{-iwt} \quad (\text{ } e^{i\pi/4} \text{ is explained in notes, for good conductor})$$

$$\Rightarrow B_0 = \frac{E_0}{V} e^{i\pi/4}$$

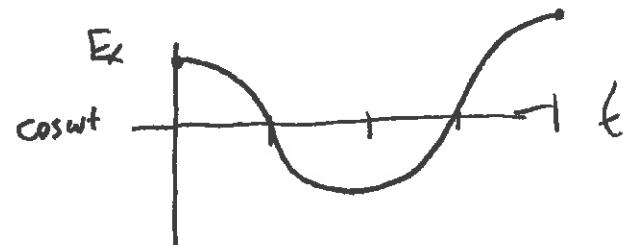
$$\vec{F}_{\text{mag}} = g \vec{v}_{\text{real}} \times \vec{B}_{\text{real}} = \frac{g^2 E_0^2}{m \omega V} \cos(kz - wt + \pi/2) \cos(kz - wt + \pi/4) \hat{z}$$

$$\text{Note } \langle \cos(wt - \pi/2) \cos(wt - \pi/4) \rangle = \langle \sin wt \cdot \frac{1}{\sqrt{2}} (\cos wt + \sin wt) \rangle$$

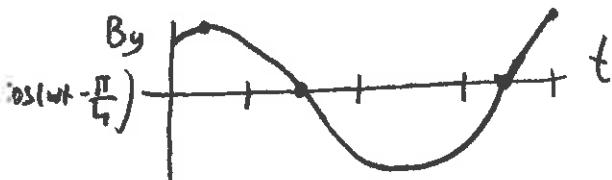
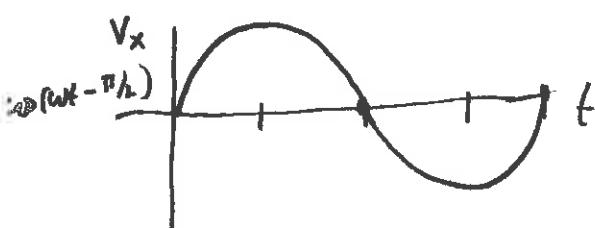
$$= \frac{1}{2} \cdot \frac{1}{\sqrt{2}}$$

Had there been no  $\pi/4$ , we'd have  $\langle \sin wt \cdot \cos wt \rangle = 0 !!$

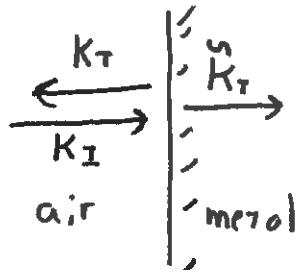
So  $\langle \vec{F}_{\text{mag}} \rangle$  is in  $+\hat{z}$  direction!



~~Electric field, magnetic field, current density, etc.~~



Reflection off metals: Let's consider Normal incidence of an EM wave on a conductor (for simplicity!)



Boundary conditions :  $\left\{ \begin{array}{l} \epsilon_1 E_1^\perp - \epsilon_2 E_2^\perp = \sigma_f \\ B_1^\perp - B_2^\perp = 0 \\ \vec{E}_1'' = \vec{E}_2'' \\ \vec{B}_1''/\mu_1 = \vec{B}_2''/\mu_2 \end{array} \right. \quad \begin{array}{l} \text{Fortunately,} \\ \text{irrelevant for} \\ \text{normal incidence,} \\ \text{so } \sigma_f \text{ won't matter} \end{array}$

Our BC's here turn out to look the same as when we solved for normal incidence on a dielectric! So the result will carry over,

$$\tilde{E}_{OR} = \tilde{E}_{02} \left( \frac{n_1 - n_2}{n_1 + n_2} \right) \left( \text{we will need to think about how to define } n_2 \text{ now, it will be complex!} \right) **$$

\* What about  $\tilde{K}_f$  on surface? Griffiths points out that for an Ohmic metal,  $\vec{J} = \sigma \vec{E}$  says to get a "delta fn" (infinite) surface current you'd need an infinite  $\vec{E}$  there, which is unphysical. You get  $\vec{J}$  inside arising from  $\vec{E}$ , but no singular ( $\vec{K}$ ) currents at the edge)

\*\* Before,  $n \equiv \frac{c k}{\omega}$ , so to use this formula,  $\tilde{n}_2 = \frac{c \tilde{k}_2}{\omega}$  will be complex.

This means there are non-trivial phase relations between  $\tilde{E}_{OR}$  &  $\tilde{E}_{02}$  now!

9-51

$$\text{In our "good conductor" limit, } \tilde{K}_2 = K_R + i K_{Im} = \sqrt{\frac{\mu\omega}{2}} (1+i)$$

whereas  $K_1$  is real, it's just  $\omega/c$ , and recall (p. 47)  $K_R \gg \frac{\omega}{c} = k$ ,  
 $= K_{Im}$

$$\text{so } \tilde{E}_{on} = \tilde{E}_{o2} \left( \frac{K_1 - \tilde{K}_2}{K_1 + \tilde{K}_2} \right) = \left( \frac{(K_1 - K_{R2}) - i K_{Im}}{(K_1 + K_{R2}) + i K_{Im}} \right) \tilde{E}_{o2}$$

( $K_1$  is tiny in the good conductor limit) so  $\tilde{E}_{on} \approx -\tilde{E}_{o2}$

$$\text{Similarly, } R = \frac{|E_{on}|^2}{|E_{o2}|^2} \approx 1.$$

<sup>reflection</sup>

So we get essentially perfect ~~reflection~~ from good conductors.

(Hence, shiny metals!) Since  $d$  is small, even a very thin coating  
of conductor is shiny - this is how you make a mirror!

~~conductor~~. (I'd say the conduction electrons in the metal cancel out  
the  $\vec{E}$  field inside, thus  $\vec{E} \rightarrow 0$  at the boundary, so that's physically  
why  $\vec{E}_{on}$  must cancel out  $\vec{E}_{o2}$  at the boundary)

Dispersion : We noted that in metals,  $|K|$  depends on  $\omega$ . So the speed of a plane wave depends on its frequency. Different colors behave differently! And if you build up a localized packet using Fourier's idea, the different  $\omega$ 's in the sum travel at different speeds.

So a wave packet  will spread out spatially! The higher  $\omega$

components will travel at a different speed, thus "dispersing" this packet over time. Hence the name "dispersive medium"

This physics yields rainbows + prisms (different "n" for different  $\omega$ )

- Conductors are dispersive (we found  $K \sim \sqrt{\omega}$ ) but they also kill off the amplitude, so you don't see this effect as readily.

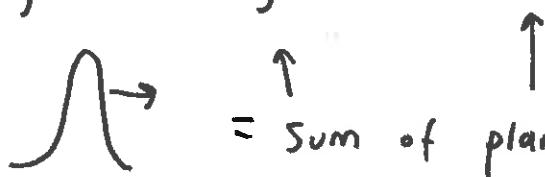
- Dielectrics are dispersive too. We ~~treat~~ them as linear with a constant  $\epsilon$  (+thus  $n$ ) independent of  $\omega$  for simplicity, but this turns out not to be true for real dielectrics.

Let's return to dielectrics, + model the interaction of EM waves with molecules to derive this dispersion, i.e. find  $\omega(K)$

Our model will be crude + classical, just to get a sense for the physics!

Preliminary comments: Fourier tells us any "wave packet"

$$f(x, t) = \int a(K) e^{i(Kx - \omega t)} dK.$$



= sum of plane waves of different  $K$ .

Without dispersion,  $\frac{\omega}{K} = v$   
is a constant for all  $K$ .  
With dispersion,  $\omega = \omega(K)$   
need not be linear!

traveling wave

The details here are tricky, I don't want to dig in too deeply. Here are some key takeaways:

1) If you build a localized traveling packet, you need multiple  $K$ 's. But if these are "concentrated" around some dominant central  $K_0 = \omega_0/v_0$

then it turns out the wave packet's "center" travels at a speed

$v_g = \left. \frac{d\omega}{dK} \right|_{K_0}$ . This is the "group velocity", as versus the "phase velocity"  $v_p = \omega/K$  of the plane waves

2) Relativity insists  $v_g < c$  for any physical waves.

Information (+energy) travels at  $v_{\text{group}}$ .

(you can have  $v_p > c$  in some cases, but never find  $v_g > c$ !)

For EM waves in matter, we want to know the "dispersion equation"

$\omega(K)$  (or equivalently  $K(\omega)$ ), so we can deduce  $\frac{d\omega}{dK}$  + thus the speed of travel of information.

### 9-53 b. More comments on $v_g + v_p$ .

In AM radio, the "carrier signal" is a high frequency plane wave.

It's effectively everywhere at all times,  $e^{i(\vec{K} \cdot \vec{r} - wt)}$  with  $w = cK$

It carries no information (except "I'm there")

But if you modulate the amplitude in a limited region of space + time,

you have a signal. That signal (the music) travels at speed  $v_g$ .

In vacuum,  $v_g = c$  too, but in dispersive medium (like air),  $v_g < c$ )

(9.53c)

$$f(x) = \int_{-\infty}^{\infty} a(K) e^{iKx} dK \quad \text{Consider a "mostly } K_0 \text{" colored pulse:}$$

Let  $a(K) = \frac{\sigma}{\sqrt{\pi}} e^{-\sigma(K-K_0)^2}$ , then  $f(x) = \sqrt{\frac{\sigma}{\pi}} \int_{-\infty}^{\infty} e^{-\sigma(K-K_0)^2} e^{iKx} dK$  Let  $K' = K - K_0$

$$= \sqrt{\frac{\sigma}{\pi}} \int_{-\infty}^{\infty} e^{-\sigma(K')^2} e^{iK'x} e^{iK_0 x} dK' = \sqrt{\frac{\sigma}{\pi}} \int_{-\infty}^{\infty} e^{-\sigma(K' - \frac{iK_0}{2\sigma})^2} e^{-x^2/4\sigma} e^{iK_0 x} dK'$$

$$= \sqrt{\frac{\sigma}{\pi}} e^{-x^2/4\sigma} e^{iK_0 x} \underbrace{\int e^{-\sigma(K'')^2} dK''}_{\sqrt{\pi/\sigma}} = \boxed{e^{-x^2/4\sigma} e^{iK_0 x} = f(x)}$$

This is localized in  $x$  (but with a phase)  
That depends on the primary color

Now, what is  $\int_{-\infty}^{\infty} a(K) e^{i(Kx - \omega t)} dK$ , (if  $\omega = v_p K$ )  $\leftarrow$  If in free space, no dispersion

$$= \int_{-\infty}^{\infty} a(K) e^{iK(x - v_p t)} dK = f(x - v_p t) \quad \leftarrow \text{As expected, pulse moves at } v_p$$

What is  $\int_{-\infty}^{\infty} a(K) e^{i(Ky - \omega t)} dK$ , if  $\omega = \omega(K)$   $\approx \omega(K_0) + (K - K_0)$   $\frac{d\omega}{dK} |_{K_0} + \dots$   
Can't do the integral unless linearize?  $\equiv v_p K_0 + (K - K_0) v_g + \dots$   
(linear in  $K_0$ , since that's where  $a(K)$  "lives")

$$= \int_{-\infty}^{\infty} \underbrace{\sqrt{\frac{\sigma}{\pi}} e^{-\sigma(K-K_0)^2}}_{a(K)} e^{i(Kx - v_p K_0 t - (K - K_0)v_g t)} dK$$

write  $K = K - K_0 + K_0$ ,

$$= \int_{-\infty}^{\infty} a(K - K_0 + K_0) e^{i(K - K_0)(x - v_g t) - v_p K_0 t - iK_0(x - v_g t)} dK$$

$$= e^{-iV_p K_0 t} e^{iK_0(x - v_g t)} \int_{-\infty}^{\infty} a(K - K_0 + K_0) e^{i(K - K_0)(x - v_g t)} d(K - K_0)$$

$$= e^{iK_0(x - v_g t)} \int_{-\infty}^{\infty} a(K' + K_0) e^{iK'(x - v_g t)} dK'$$

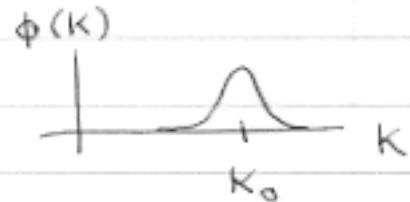
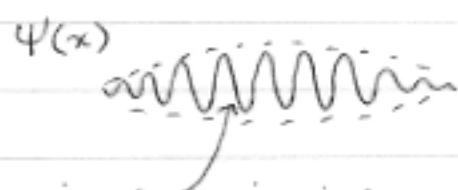
Note  $\int a(K' + K_0) e^{iK'x} dK' = \int a(K'') e^{iK''x} dK'' e^{-iK_0 x} = e^{-iK_0 x} f(x)$   $\rightarrow$  uninteresting?

$$\text{So we have } e^{iK_0(x - v_g t)} \left[ e^{-iK_0(x - v_g t)} f(x - v_g t) \right] = e^{iK_0(v_g - v_p)t} f(x - v_g t)$$

Result: travels @  $v_g$ , not  $v_p$ !

Back to problem of velocity of free particle

Wave Packet:



Will show that ripples inside packet move w/ phase velocity  $\omega/k$  but envelope moves w/ group velocity  $d\omega/dk$

$$\text{ripples} \times \text{envelope} = \text{packet}$$

$$\text{packet } \Psi(x,t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \phi(k) e^{i(kx - \omega(k)t)} dk$$

$$\omega = \omega(k) = \frac{E}{\hbar} = \frac{\hbar k^2}{2m}$$

$\phi(k)$  is assumed  $\approx 0$  except near  $k_0 = 2\pi/\lambda_0$

$\Rightarrow$  only contributions to integral from  $k$ 's near  $k_0$   
 $\Rightarrow$  can expand  $\omega(k)$  about  $k_0$

$$\text{Taylor Series: } \omega(k) = \omega(k_0) + \left. \frac{d\omega}{dk} \right|_{k_0} \cdot (k - k_0)$$

$$\omega(k) = \omega_0 + \omega'_0 \cdot \Delta k$$

$$\Delta k = k - k_0, \quad k = k_0 + \Delta k,$$

$$\begin{aligned} e^{i(kx - \omega t)} &= e^{i[(k_0 + \Delta k)x - (\omega_0 + \omega'_0 \cdot \Delta k)t]} \\ &= e^{i(k_0 x - \omega_0 t)} \cdot e^{i(\Delta k x - \omega'_0 \Delta k t)} \\ &= e^{i(k_0 x - \omega_0 t)} \cdot e^{i\Delta k (x - \omega'_0 t)} \end{aligned}$$

So, can rewrite  $\Psi(x, t)$  as

$$\Psi(x, t) = \frac{e^{i(K_0 x - \omega_0 t)}}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} d(\Delta k) \phi(K_0 + \Delta k) e^{i \Delta k (x - \omega'_0 t)}$$

$\underbrace{g(x - \frac{\omega_0}{K_0} t)}_{\text{(ripples)}}$        $\underbrace{f(x - \omega'_0 t)}_{\text{(envelope)}}$

$$\text{phase velocity } v_{\text{phase}} = \frac{\omega}{k} = \frac{\hbar k}{2m} = \frac{p}{2m}$$

$$(\text{recall } \omega = \omega(k) = \hbar k^2 / 2m)$$

$$\text{group velocity } v_{\text{group}} = \frac{d\omega}{dk} = \frac{2\hbar k}{2m} = \frac{\hbar k}{m} = \frac{p}{m}$$

$\Rightarrow$  envelope of wavepacket moves w/  $v_{\text{group}} = p/m$   
agrees w/ classical mechanics

Because different  $k$ 's move w/ different  $v_{\text{phase}} = \frac{\hbar k}{2m}$   
the envelope tends to spread out as it  
moves w/ higher  $k$ 's moving to front of packet



$\Delta x$  grows. OK w/ Uncertainty Principle which  
only places lower limit  $\Delta x \Delta p \geq \hbar/2$

Our simple model will be "atoms are charges on springs,"  $\leftrightarrow$

So in an  $\vec{E}$  field, Newton says  $\vec{F}_{\text{net}} = g \vec{E} - K_{\text{spring}} \vec{x} - (\text{drag}) \cdot \vec{v}$   
on  $g$

$$K_{\text{spring}} = m \omega_0^2 \quad (\text{to avoid confusing "Spring } K\text{" + wavenumber})$$

$\omega_0$  is the natural (resonant) frequency of the charge.

drag  $\equiv m \gamma$  is some internal friction (e.g. from radiation, which we'll get to soon). Let's let  $\vec{E} = E_0 \hat{x} e^{i(Kz-\omega t)}$

Focus on any one atom, take  $x$  components, go to  $z=0$ , + you have

$$m \ddot{x} = \underbrace{g E_0 e^{-i\omega t}}_{\text{the "driver"}} - \underbrace{m \omega_0^2 x}_{\text{the spring}} - \underbrace{m \gamma \dot{x}}_{\text{the damping}} \quad \text{where } x(t) \text{ is the displacement of our charge } q \text{ in the atom}$$

This is a familiar ODE, (see phys2210!), just try a sol'n  $x = x_0 e^{-i\omega t}$

$$-m\omega_0^2 x_0 = g E_0 - m\omega_0^2 x_0 - m\gamma(-i\omega)x_0 \quad (\text{After canceling } e^{-i\omega t})$$

which is solved by the right choice for  $x_0$ ,

$$x_0 = g E_0 / m / (\omega_0^2 - \omega^2 - i\gamma\omega)$$

$$\text{This means the atom polarizes, } p(t) = \underbrace{q x(t)}_{\text{usual dipole formula}} = g x_0 \underbrace{e^{-i\omega t}}_{\text{our sol'n for } x!}$$

$$\text{So our } \vec{E} \text{ field polarizes atoms (of course), + } \vec{p} = \frac{q^2 \epsilon_0 / m}{\omega_0^2 - \omega^2 - i\gamma\omega} e^{-i\omega t}$$

Note that  $\vec{p} \propto \vec{E}$ , but the proportionality is complex,  $\vec{p}$  is out of phase!

Indeed, with the sign in the denominator,  $\vec{p}$  "lags"  $\vec{E}$  a bit.

This  $\vec{p}$  adds up to a BULK volume polarization  $\vec{P} = N \vec{p}$

If each molecule  has " $f_j$ " electrons, + each electron has its own resonant frequency " $\omega_j$ " + damping " $\gamma_j$ ", then we really need to sum

$$\vec{P} = \frac{N q^2 \epsilon_0}{m} \sum_j \frac{f_j}{(\omega_j^2 - \omega^2 - i\gamma_j \omega)} \vec{E}$$

Note: I'm assuming a dilute gas, because here only  $\vec{E}_{ext}$  is polarizing.

In dense materials,  $\vec{P}$  creates its own  $\vec{E}$  field which also polarizes.

This is a Ch. 4 story, let's not fuss about it. I'm just after qualitative outcomes)

$$\text{In general, } \underbrace{\vec{D} = \epsilon \vec{E}}_{\text{linear}} = \underbrace{\epsilon_0 \vec{E} + \vec{P}}_{\text{always}} = \epsilon_0 \vec{E} \left( 1 + \underbrace{\frac{N q^2}{m} \sum_j \frac{f_j}{\omega_j^2 - \omega^2 - i\gamma_j \omega}}_{\text{our model}} \right)$$

Nice. We have a model formula for  $\epsilon$ , assume "dilute spring-like" atoms

$\epsilon$  is complex!

$\epsilon$  depends on frequency, it's dispersive! ]

If you go back to our former wave eq'n in a linear dielectric,

$$\nabla^2 \vec{E} = \epsilon \mu \frac{\partial^2 \vec{E}}{\partial t^2} . \quad \left[ \text{This is still true, all our previous work still holds, but } \epsilon = \tilde{\epsilon}(w) \text{ now!} \right]$$

So our old sol'n still holds:

$$\vec{E} = \vec{E}_0 e^{i(\tilde{K}z - wt)} \quad \text{but, with } \frac{\tilde{K}}{\omega} = \sqrt{\tilde{\epsilon} \mu_0} \text{ now}$$

So our  $\tilde{K} = \sqrt{\tilde{\epsilon}(w) \cdot \omega^2 \mu_0}$  is complex (which we know means losses) + depends nonlinearly on  $w$  (dispersive)

But we learned in the last section (on conductors) how to handle complex  $\tilde{K}$

$$\tilde{K} = K_R + iK_{IM}, \text{ so } \vec{E} = \vec{E}_0 e^{-K_{IM}t} e^{i(K_R - wt)}$$

The damping arises physically from the friction (damping!) in our model.

$K_R$  &  $K_{IM}$  are not what we had for conductors, this is totally different

They are determined from model parameters ( $m, g, \gamma, \omega_0^2, \dots$ )

$$\text{We know } \tilde{\epsilon} = \epsilon_0 \left( 1 + \frac{N g^2}{\epsilon_0 m} \sum_j \underbrace{\frac{f_j}{w_j^2 - \omega^2 - i\gamma_j w}} \right)$$

But my "dilute" approximation says this is small!

$$\text{So } \frac{\tilde{K}}{\omega} = \sqrt{\mu_0 \tilde{\epsilon}} = \sqrt{\mu_0 \epsilon_0} \sqrt{1 + \text{Something small}} \approx \sqrt{\mu_0 \epsilon_0} \left( 1 + \frac{1}{2} (\text{small}) \right)$$

$\hookrightarrow$  use the binomial expansion!

9-57

Our model is saying

$$\frac{\tilde{K}}{\omega} = \frac{1}{c} \left( 1 + \frac{Nq^2}{2m\epsilon_0} \sum_j \frac{f_j}{\omega_j^2 - \omega^2 - i\delta_j \omega} \right), \quad (\text{with } \tilde{K} = K_R + iK_{IM})$$

Note that the real part of  $\frac{1}{a-bi} = \operatorname{Re} \left( \frac{1}{a-bi} \frac{a+bi}{a+bi} \right) = \frac{a}{a^2+b^2}$

Similarly,  $\operatorname{IM} \left( \frac{1}{a-bi} \right) = \frac{b}{a^2+b^2}$

so we can read off

$$K_R = \frac{\omega}{c} \left( 1 + \frac{Nq^2}{2m\epsilon_0} \sum_j f_j (\omega_j^2 - \omega^2) / [(\omega_j^2 - \omega^2)^2 + \theta(\delta_j \omega)^2] \right)$$

$$K_{IM} = \frac{\omega}{c} \frac{Nq^2}{2m\epsilon_0} \sum_j f_j \delta_j \omega / [(\omega_j^2 - \omega^2)^2 + \theta(\delta_j \omega)^2]$$

Comment: Since Intensity  $\propto |E|^2$ , the dying off part gives  $e^{-2K_{IM} z}$   
 so people define  $\alpha = 2K_{IM}$  = "absorption coeff". Our dielectric  
 is absorbing energy - in a frequency dependent way! We will get  
 "absorption lines" at certain  $\omega$ 's!

$$\text{Indeed, } \alpha = \frac{Nq^2}{m\epsilon_0 c} \omega^2 \sum_j f_j \delta_j / [(\omega_j^2 - \omega^2)^2 + (\delta_j \omega)^2]$$

This is small, except that it has resonance

behaviour for  $\omega = \omega_j$ . So, when your E field has  $\omega \approx \omega_j$ ,  
 near one of the natural resonances, this is where you get strong  
 absorption, (+ Spectroscopic absorption bands!)

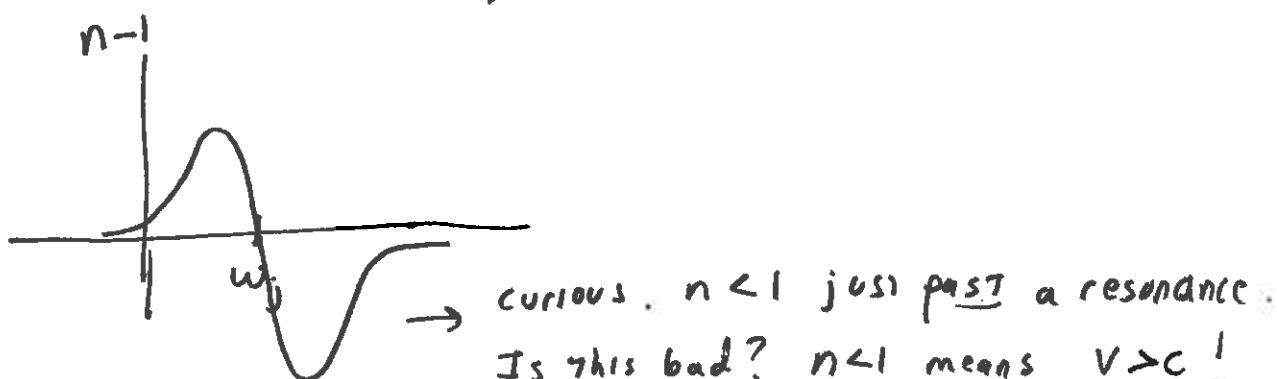
Meanwhile, the traveling wave  $\sim e^{i(K_R - \omega t)}$ , so

$$v_{\text{phase}} = \frac{\omega}{K_R}, \text{ + we generally define } n = \frac{c}{v_{\text{phase}}} = \frac{c K_R}{\omega}$$

$$\text{In our model, } n = 1 + \frac{N g^2}{2m\epsilon_0} \sum_j \frac{f_j(\omega_j^2 - \omega^2)}{(\omega_j^2 - \omega^2)^2 + \delta_j^2 \omega^2}$$

Small, so  $n \approx 1$ .

But, positive when  $\omega$  is just below a resonant  $\omega_j$



→ curious.  $n < 1$  just past a resonance.  
Is this bad?  $n < 1$  means  $v > c$ !

It's OK : 1) There are still lots of other terms in that sum, they tend to form a "background" that lifts  $n > 1$ . So, it might dip at this frequency, but not really go  $< 1$ .

2)  $v_p = c/n$  can be  $> c$ , as long as  $Vg = \frac{d\omega}{dK} < c$ .

This is a Griffiths problem, to check that this is OK in our model!

3) Note that far from all resonances,  $\gamma$  is typically irrelevant,

$$n-1 \approx \frac{N g^2}{2m\epsilon_0} \sum_j \frac{f_j}{(\omega_j^2 - \omega^2)}$$

For light below most "natural frequencies" (which are often UV)

$$\frac{1}{\omega_j^2 - \omega^2} \approx \frac{1}{\omega_j^2} (1 - \omega^2/\omega_j^2) \approx \frac{1}{\omega_j^2} (1 + \omega^2/\omega_j^2) \quad \leftarrow \text{Binomial Expansion}$$

$$\text{so } n-1 \approx \frac{Ng^2}{2m\epsilon_0} \left( \sum_j \frac{f_j}{\omega_j^2} + \omega^2 \sum_j \frac{f_j}{\omega_j^4} \right) = C_1 + C_2 \omega^2$$

Turns out this is a half decent model for  $n$  of dilute gases, + those  $C$ 's can be estimated (+ are of the right order of mag.) experimentally)

→ This model, with  $\omega \ll (\text{most } \omega_j)$  gives a rising  $n(\omega)$ , so you predict red light has lower  $n$  than violet, so it refracts less. This is consistent with rainbows + prisms, (the those are not dilute!)

→ Far from resonances,  $C_2 \ll C_1$ , +  $n \approx 1$  (gases are mostly colorless, transparent, linear media)

→ Near resonances,  $\alpha$  gets big, you get spectral lines, + "anomalous dispersion" where  $n$  briefly drops with frequency