# **Positive Feedback and Oscillators**

## Purpose

In this experiment we will study how spontaneous oscillations may be caused by positive feedback. You will construct an active LC filter, add positive feedback to make it oscillate, and then remove the negative feedback to make a Schmitt trigger.

## Introduction

By far the most common problem with op-amp circuits, and amplifiers in general, is unwanted spontaneous oscillations caused by positive feedback. Just as negative feedback reduces the gain of an amplifier, positive feedback can increase the gain, even to the point where the amplifier may produce an output with no input. Unwanted positive feedback is usually due to stray capacitive or inductive couplings, couplings through power supply lines, or poor feedback loop design. An understanding of the causes of spontaneous oscillation is essential for debugging circuits.

On the other hand, positive feedback has its uses. Essentially all signal sources contain oscillators that use positive feedback. Examples include the quartz crystal oscillators used in computers, wrist watches, and electronic keyboards, traditional LC oscillator circuits like the Colpitts oscillator and the Wien bridge, and lasers. Positive feedback is also useful in trigger and logic circuits that must determine when a signal has crossed a threshold, even in the presence of noise.

In this experiment we will try to understand quantitatively how positive feedback can cause oscillations in an active LC filter, and how much feedback is necessary before spontaneous oscillations occur. We will also construct a Schmitt trigger to see how positive feedback can be used to detect thresholds.

## **Optional Readings**

- 1. FC Sections 12.2 12.15 and (Optional) Horowitz and Hill, Section 5.12 to 5.19. If you are designing a circuit and want to include an oscillator, look here for advice. Amplifier stability is discussed in Sections 4.33-4.34.
- 2. Bugg discusses the theory of spontaneous oscillations in Chapter 19. You may want to read Section 19.4 on the Nyquist diagram after you read the theory section below.

## Theory

### LC ACTIVE BANDPASS FILTER

The circuit for the active LC filter is shown in Figures 5.1 and 5.2. Recall from the Vin theory section of Experiment #4 that the gain of an inverting amplifier is  $G = -R_F/R$  when the open loop gain is large. The basic idea of this filter is to replace  $R_F$  with a resonant circuit whose impedance becomes very large at the resonant frequency. Then there will be a sharp peak in the gain at the resonant frequency. If we replace  $R_F$  with the impedance  $Z_F$  shown in Fig. 5.2 and do a fair bit of algebra, we can show that

$$G(\omega) = -\frac{Z_F}{R} = -\frac{Z_0}{R} \frac{\frac{1}{Q} + \frac{i\omega}{\omega_0}}{\left(1 - \frac{\omega^2}{\omega_0^2}\right) + \frac{i\omega}{\omega_0 Q}}.$$

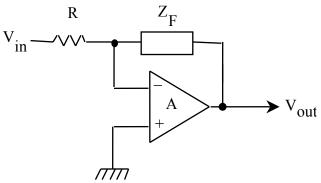


Figure 5.1 Active Bandpass Filter

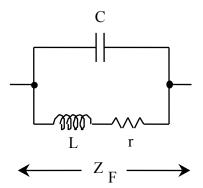


Figure 5.2 Parallel Resonant Circuit

where we have defined the resonant frequency  $\omega_0$ , the characteristic impedance  $Z_0$ , and the Q:

$$\omega_0 = \frac{1}{\sqrt{LC}}, \quad Z_0 = \sqrt{\frac{L}{C}}, \quad Q = \frac{Z_0}{r}.$$

With quite a bit more work you can show that the peak in the magnitude of G occurs at the frequency

$$\omega_{peak} = \omega_0 \sqrt{\sqrt{1 + \frac{2}{Q^2} - \frac{1}{Q^2}}},$$

which is very close to  $\omega_0$  when Q is large. The gain at the peak is

$$G(\omega_{peak}) = -Q\frac{Z_0}{R}.$$

This last formula is only approximate, but corrections to the magnitude are smaller by a factor of  $1/Q^2$  and thus not usually important. There is quick way to get this final formula if you already know that a parallel LC circuit has an impedance of  $Z_F(\omega_0) = Q^2 \cdot r$  at resonance, and that  $\omega_{\text{peak}}$ 

is very close to  $\omega_0$ . Then we get  $G(\omega_0) = -Z_F(\omega_0)/R = -Q^2 \cdot r/R$ , which is the same result.

### GAIN EQUATION WITH POSITIVE FEEDBACK

To turn our band-pass filter into an oscillator, we add positive feedback as shown in Fig. 5.3. This circuit now has both positive and negative feedback paths, so we need a more general gain equation than we have derived before. The two divider ratios are defined as before

$$B_+ = \frac{R_2}{R_1 + R_2}, \quad B_- = \frac{R}{R + Z_F}.$$

The voltage  $V_{out}$  at the output is related to the voltages  $V_+$  and  $V_-$  at the op-amp inputs by

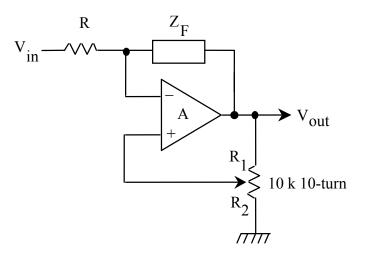


Figure 5.3 Positive Feedback LC Oscillator

$$V_{out} = A (V_+ - V_-).$$

These voltages are themselves determined by the divider networks

$$V_{-} = V_{in} + B_{-} (V_{out} - V_{in}),$$
  
$$V_{+} = B_{+} V_{out}.$$

Combining all three relations yields the gain equation

$$G = \frac{V_{out}}{V_{in}} = \frac{-A(1-B_{-})}{1-A(B_{+}-B_{-})}.$$

In the absence of positive feedback ( $B_+ = 0$ , potentiometer "wiper" at zero) this reduces to the gain equation for the inverting amplifier discussed in Experiment #4.

#### OSCILLATION THRESHOLD

If we increase  $B_+$  by moving the pot wiper up, the denominator in the gain equation will decrease and the gain will increase, resulting in a sharper and sharper peak in the filter response near  $\omega_0$ . The system will oscillate when the gain becomes infinite, since infinite gain implies that there is an output even with zero input. The gain will be infinite when the denominator in the gain equation is zero. If the value of the loop gain A is very large at the resonant frequency then, to a good approximation, the denominator will be zero when  $B_+ = B_-$ , or when

$$\frac{R_2}{R_1 + R_2} = \frac{R}{R + Z_F}$$

If the oscillation occurs near  $\omega_0$  we can replace  $Z_F$  with  $Z_F(\omega_0) = Q^2 \cdot r = Z_0^2 / r$ . With this substitution we find that the oscillation threshold occurs at

$$B_{+} \Big|_{threshold} = \frac{R_{2}}{R_{1} + R_{2}} \Big|_{threshold} = \frac{rR}{rR + Z_{0}^{2}}.$$

This derivation is correct, but it leaves several questions unanswered. What does it really mean to have infinite gain? What does the system do if we increase  $B_+$  past the threshold for oscillations? How do we analyze a system for stability when we don't know what frequency it will oscillate at? See the Appendix to this experiment for a more complete treatment of stability that addresses these questions.

### Problems

1. (A) (3 points) Design an active bandpass filter with a resonant frequency of 16 kHz, a Q of 10, and a closed loop gain of one at the peak of the resonance. Choose suitable component values for the parallel LC circuit shown in Figures 5.1 and 5.2. You should use L = 10 mH because this value is common in the lab supplies. The series resistor shown in Figure 5.2 will have two contributions, one from the losses in your inductor, and one from the resistor that you must choose to get the correct Q. If you do not know what the effective resistance of your inductor is, assume it is zero for now.

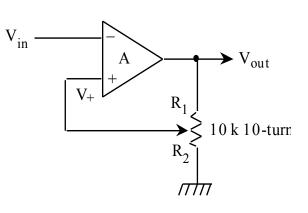
**(B)** (1 point) What are the two 3 db frequencies and what is  $Z_0$ ?

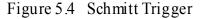
(3 points) To make an LC oscillator you will add positive feedback as shown in Figure 5.3. Predict the value of the divider ratio B<sub>+</sub> where spontaneous oscillations will just begin. B<sub>+</sub> is defined as

$$B_{+} = \frac{R_2}{R_1 + R_2}.$$

Also predict the oscillation frequency.

3. (3 points) The circuit shown in Figure
5.4 is called a Schmitt trigger (See FC 12.12). It has only positive feedback. To figure out what it does, suppose a 1 kHz, 4 V p-p triangle wave is connected to V<sub>in</sub>, and try to draw the





waveforms for  $V_{in}$ ,  $V_+$ , and  $V_{out}$ , all on the same time axis. Suppose that the op-amp saturation levels are  $\pm 13$  V, and that the divider is set so  $V_+ = 0.5$  V when  $V_{out} = +13$  V. Hint: Are the "up" and "down" transitions at the same input voltage?

## Experiment

*Helpful hint:* First be sure your op amp is working by replacing the LC combination  $Z_F$  in Fig. 5.1 with a simple resistor having the value R. In combination with the first R, this will make a simple inverting amplifier and allow you to be sure that the power supply, ground connections, and op amp are ok. Once this simple inverter is working, change the feedback impedance  $Z_F$  back to the LC combination. To help prevent spontaneous oscillations due to unintended coupling via the power supplies, use bypass capacitors to filter the supply lines as done in lab 4.

- 1. Build and test an active LC bandpass filter following the design worked out in Problem 1 above. Measure the gain, i.e. transfer function, as a function of frequency. Extract the resonant frequency, closed loop gain on resonance, and *Q*. Does your *Q* agree with what you expect? Fix your circuit so that you do realize the values in your original prediction. Hint: The inductor has losses and hence adds an extra resistance in series.
- Now add positive feedback according to Figure 5.3. Convert the circuit into an oscillator by adjusting the positive feedback, as described in the theory section and ground the input (V<sub>in</sub> = 0). Measure the threshold value of the divider ratio at which spontaneous oscillation begins. Measure the oscillation frequency near threshold. Compare your observations with theory.
- Remove the negative feedback Z<sub>F</sub> from your circuit to create a Schmitt trigger. Put in a triangle wave and make a plot of both input and output voltages as seen on the oscilloscope. Compare the observed waveforms with those in Prelab Problem 3.

## **Appendix: Stability Theory**

In this section we present a general approach to understanding the stability of feedback systems, and apply it to two cases: an op-amp with negative resistive feedback and dominant pole compensation, and the LC oscillator of this experiment. We will show that the dominant pole compensated op-amp is stable for any gain. For the LC oscillator we will find the positive feedback divider ratio  $B_+$  where the system just becomes unstable and the oscillation frequency at threshold.

### THE S-PLANE

In this course we have mostly been considering the sinusoidal response of a linear system driven by a sine wave. To discuss stability, we have to also consider the undriven or spontaneous motions of a system. For a linear (and time-invariant) system, these motions can be sinusoidal, but more generally they are sinusoidal with a growing or damped exponential envelope. The Splane is a tool for discussing such more general responses.

Any quantity like a gain  $G(\omega)$  or an impedance  $Z(\omega)$  that relates an output to an input of a linear system is called a <u>transfer function</u>. The theory of stability can be presented most easily if we generalize the notion of transfer function that we have been using so far.

When we say that a system has a certain transfer function  $T(\omega) = V_{out}/V_{in}$ , we mean that if a sine wave with a certain phase and amplitude is applied to the input

$$V_{in}(t) = Re\{V_{in}e^{j\omega t}\}$$

then the output waveform will be

$$V_{out}(t) = Re\{T(\omega)V_{out}e^{j\omega t}\}$$

which is a sine wave with a different amplitude and phase. (The input and output do not have to be voltages. For an impedance the output is a voltage but the input is a current.)

It is easy to extend the idea of transfer functions to a more general input waveform:

$$V_{in}(t) = Re\{V_{in}e^{st}\}$$

When s is pure imaginary, this is just a sine wave. But in general, s may extend over the whole complex plane, or <u>s-plane</u>, and then we can describe a sine wave multiplied by an exponential function. If we write s in terms of real numbers  $\sigma$  and  $\omega$ ,

$$s=\sigma+j\omega$$

then the input waveform becomes

$$V_{in}(t) = Re\{V_{in}e^{j\omega t}\}e^{\sigma t}$$

When the real part of s is positive the exponential blows up at late times, but when  $Re{s}$  is negative the wave is damped. With this more general input wave the output is given by

$$V_{out}(t) = Re\{T(s)V_{in}e^{st}\}$$

The transfer function T(s) on the s-plane is obtained from the frequency domain transfer function  $T(\omega)$  by the substitution  $i\omega \rightarrow s$ . For example, the frequency domain impedance of an inductor is  $Z(\omega) = i\omega L$ , and the s-plane impedance is Z(s) = sL. You can show this using the fundamental time-domain relation for an inductor: V(t) = L dI(t)/dt.

Any transfer function T(s) is a rational function of s, and can be written in the form:

$$T(s) = C \frac{(s-z_1)(s-z_2)(s-z_3) \dots}{(s-p_1)(s-p_2)(s-p_3) \dots}.$$

The points on the s-plane  $z_1$ ,  $z_2$ ,  $z_3$ ,... where T(s) is zero are called <u>zeros</u> of T(s), and the points  $p_1$ ,  $p_2$ ,  $p_3$ ,... where T(s) diverges are called <u>poles</u> of T(s). The prefactor C is a constant. All rational functions of transfer functions, like the numerators and denominators of our gain equations, are also rational functions that can be written in the above pole-zero form.

#### GENERAL REQUIREMENTS FOR STABILITY

We want to find the conditions for a feedback system to have an output  $V_{out}$  even though the input  $V_{in}$  is zero. Such undriven motions can only occur for values of s where the gain G(s) is infinite, or in other words at a pole of G(s). If all of the poles of G(s) are in the left half-plane (where Re(s) < 0) then the spontaneous motions are damped and the system is stable. If any pole occurs in the right half-plane (Re(s) > 0), then there are spontaneous motions that grow exponentially with time, and the system is unstable. (Of course, they can't grow forever since the system will eventually saturate at the supply rails.) Poles on the imaginary axis (Re(s) = 0) are an intermediate case where a sinusoidal motion neither grows nor is damped. In this case the system is just at the threshold of oscillation. Thus, we find that the meaning of 'infinite gain' is determined by the location of poles in the s-plane.

All of our gain formulas are of the form G=N/D, where N is the numerator and D is the denominator. Poles of G can be due either to poles of N or zeros of D. We will assume that there are no right half-plane poles of N, which is generally true for amplifiers but not necessarily for servo systems (which often have unstable open-loop response). In this case stability depends on the presence or absence of right-half-plane zeros of D.

For an amplifier with both positive and negative feedback, our stability criterion is:

If the denominator function  $1 - A(B_+ - B_-)$  has any right-half-plane zeros, then the system is unstable.

For an amplifier without a separate positive feedback path the criterion is:

If the denominator function 1 + AB has any right-half-plane zeros, then the system is unstable.

You might wonder how instability could ever occur without a positive feedback path. All amplifiers have phase shifts that increase with frequency, and additional phase shifts can be present in the feedback network. When these phase shifts total 180°, negative feedback becomes positive, and oscillations can occur if the feedback is still strong enough.

In the examples below we will determine the presence or absence of right-half-plane zeros directly by solving for the location of the zeros. If this is not possible or convenient, you should be aware that there is another very clever method for determining the presence of right-half-plane zeros called the Nyquist plot (see Bugg, Chapter 19). A different graphical method based on Bode plots is discussed in H&H, Section 4.33-4.34. All methods for determining stability are based on the criteria given above.

### EXAMPLE: DOMINANT-POLE COMPENSATED OP-AMP

We first consider an op-amp with resistive negative feedback and dominant-pole compensation. This case includes the non-inverting amplifier (Experiment #4, Figure 4.2) and the inverting amplifier (Experiment #4, Figure 4.3), if made with a dominant-pole compensated op-amp like the LF356. The divider ratio B is frequency independent

$$B=\frac{R}{R+R_F},$$

The frequency-domain open loop gain A of the op-amp

$$A = \frac{A_0}{1 + j\frac{f}{f_0}}$$

corresponds to the s-plane open loop gain A(s):

$$A(s) = \frac{A_0 \omega_0}{s - (-\omega_0)},$$

where  $\omega_0 = 2\pi f_0$ , and the function has been written in pole-zero form. The denominator function is

$$1 + A(s)B = 1 + \frac{A_0\omega_0B}{s - (-\omega_0)} = \frac{s - (-\omega_0(1 + A_0B))}{s - (-\omega_0)}.$$

For any (positive) value of  $A_0$  or B the denominator function has one zero which is real and negative. Thus there is never a right-half-plane zero, and so a dominant-pole compensated opamp is stable for any open loop gain (any value  $A_0$ ) or any closed loop gain (any value of B). This is a nice feature, and it's why we use dominant-pole compensated op-amps in this course. Non- dominant-pole compensated amplifiers can achieve larger gain-bandwidth products, but they may be unstable if the closed loop gain is too small (if B is too large).

#### EXAMPLE: LC OSCILLATOR

We consider next the LC oscillator of Figure 5.1 and 5.2, which has a frequency dependent feedback network and both positive and negative feedback. We will have to find the zeros of the denominator function  $1 - A(B_+ - B_-)$ . If  $B_+$  and  $B_-$  were frequency independent (made of resistors only), this would be identical to the previous case with the substitution  $B \rightarrow -(B_+ - B_-)$ . There would again be one real zero, and it would occur at  $-\omega_0 (1 - A_0 (B_+ - B_-))$ . The condition for stability would be that this zero be negative, or that  $(B_+ - B_-) < 1/A_0$ . Thus as soon as the positive feedback exceeds the negative feedback very slightly, the system becomes unstable. (Recall that  $1/A_0$  is typically  $10^{-4} - 10^{-6}$ .) For the problem at hand the solution is more complicated because  $B_-$  is frequency dependent.

The zeros can be found by finding the values of s that satisfy the equation  $1 - A(B_+ - B_-) = 0$ . In our experiment the frequency of spontaneous oscillation is much less than the unity gain frequency of the op-amp. It is therefore an adequate approximation to take the open loop gain A to be infinite, so that the zeros are determined by the simpler equation

$$B_{-}=B_{+}.$$

This is sometimes called the <u>Barkhausen formula</u>, or when used to find the threshold for oscillation, the <u>Barkhausen criterion</u>. We will see that the real part of this formula can be used to determine the threshold value of  $B_+$  where spontaneous oscillation begins, and the imaginary part determines the frequency of the spontaneous oscillations.

The s-plane impedance of the parallel LC circuit in Figure 5.2 is given by

$$Z_{F} = Z_{0} \frac{\frac{s}{\omega_{0}} + \frac{1}{Q}}{\frac{s^{2}}{\omega_{0}^{2}} + \frac{s}{\omega_{0}Q} + 1},$$

where we have defined  $\omega_0$ ,  $Z_0$ , and Q as above for the LC active filter. The Barkhausen criterion is then

$$B_{-} = \frac{R}{R + Z_{F}} = \frac{\frac{s^{2}}{\omega_{0}^{2}} + \frac{s}{\omega_{0}Q} + 1}{\frac{s^{2}}{\omega_{0}^{2}} + \frac{s}{\omega_{0}Q} + 1 + \frac{Z_{0}}{R} \left(\frac{s}{\omega_{0}} + \frac{1}{Q}\right)} = B_{+}.$$

Simplifying this result yields a quadratic equation for the zeros:

Experiment #5

$$s^{2} + \omega_{0} \left( \frac{1}{Q} - \frac{B_{+}}{1 - B_{+}} \frac{Z_{0}}{R} \right) s + \omega_{0}^{2} \left( 1 - \frac{1}{Q} \frac{B_{+}}{1 - B_{+}} \frac{Z_{0}}{R} \right) = 0.$$

One can solve this equation and thereby determine, for any value of the parameters, if there are zeros in the right-half-plane that represent unstable oscillations. We will instead find the value of  $B_+$  where the system just becomes unstable. We expect that for  $B_+$  small enough, all of the zeros should be in the left-half-plane and the system should be stable. Then, as  $B_+$  increases, at least one zero should cross the imaginary axis. The threshold of instability occurs when a zero is on the imaginary axis. We thus look for solutions of the quadratic with  $s = i\omega$ . The imaginary part of the quadratic then gives

$$\left| \frac{B_{+}}{1-B_{+}} \right|_{threshold} = \frac{R}{Z_{0}Q} \quad \text{or} \quad B_{+}|_{threshold} = \frac{rR}{rR+Z_{0}^{2}}.$$

This determines the value of  $B_+$  where the system becomes unstable. The real part yields the oscillation frequency at threshold:

$$\omega_{threshold} = \omega_0 \sqrt{1 - \frac{1}{Q^2}}.$$

The small Q-shift is slightly different than for the active filter. To find the frequency and exponential growth or decay rate above or below threshold the general quadratic equation for s must be solved.

In real systems unstable oscillations cannot grow to infinity. Instead they grow until nonlinearities become important and reduce the average positive feedback or increase the average negative feedback. To make a stable oscillator with low distortion, the saturation behavior must be carefully controlled. See the discussion of Wien bridges in H&H Section 5.17 for an example of how this can be done.